

Section 5: Human Subjects & Vertebrate Animals

HUMAN SUBJECTS

Are Human Subjects Involved? Yes No
 Will this be a clinical trial? Yes No

VERTEBRATE ANIMALS

Are Vertebrate Animals Used? Yes No
 Involves the Use of Live Vertebrate Animals (laboratory animals or wildlife)? Yes No
 Involves the use of live cephalopods (octopuses, squid, cuttlefish, or nautilus)? Yes No

Section 6: Regulatory Questions

Will this project involve YNHH services/staff? Yes No
 Does this proposal involve special research (either COVID-19 or Stem Cell research)? Yes No
 Is there proprietary/privileged information included in the application? (patentable ideas, trade secrets, etc.) Yes No
 Does the project have an actual impact on the environment? (threatens the environment or public health) Yes No
 Is the research performance site designated, or eligible to be designated, as a historic place? Yes No

WILL EHS MATERIALS BE USED ON THIS PROJECT? YES NO IF "YES," INDICATE WHICH MATERIAL(S) BELOW:

| | | | |
|---|--|--|--|
| <input type="checkbox"/> Recombinant DNA | <input type="checkbox"/> Hazardous Chemicals | <input type="checkbox"/> Radioactive Materials/Sources | <input type="checkbox"/> Select Agents |
| <input type="checkbox"/> Human Gene Transfer | <input type="checkbox"/> Biohazards | <input type="checkbox"/> Controlled Substances | <input type="checkbox"/> Radiation Generating Equipment |
| <input type="checkbox"/> Class 3b or 4 Lasers | <input type="checkbox"/> Human Pathogens | <input type="checkbox"/> Human Embryonic Stem Cells | If Human Embryonic Stem Cells will be used on this project provide ESCRO#: |

Section 7: Export Questions

Does the proposed sponsored project involve the use of any Controlled Un-Classified Information? Yes No
'Controlled Unclassified Information' (CUI) is information that requires safeguarding or dissemination controls pursuant to and consistent with applicable law, regulations, and government-wide policies but is not classified under Executive Order 13526 or the Atomic Energy Act, as amended. If your proposal seeks funding from a federal agency and you are unsure if CUI will be received or generated in the performance of the proposed research, please consult [Controlled Unclassified Information](#) to determine if CUI is Involved.

Does the proposed project refer to or require any of the following:

Export controls in general or receipt of export-controlled materials Publication Restrictions Restrictions on foreign nationals
 Collaboration with a foreign entity or foreign national? Yes No If "Yes," provide name of country(ies):
 Will any part of the proposed sponsored project be conducted outside the US? Yes No
 Any foreign travel, especially foreign travel with a laptop or other electronic device? Yes No

| | |
|---|--|
| Will this project involve the transfer or shipment of equipment, materials, software, or data or provision of services outside the US? <input type="checkbox"/> Yes <input type="checkbox"/> No | If "Yes", provide country(ies) and description of what you are shipping or transferring, or what services you are providing: |
| Does the project involve any technology or software which involves encryption, possible military applications or the possibility to use such technology in development of weapons? <input type="checkbox"/> Yes <input type="checkbox"/> No | If yes, provide a description of the technology and software involved: |