



Published in final edited form as:

*J Acquir Immune Defic Syndr.* 2018 May 01; 78(1): 43–53. doi:10.1097/QAI.0000000000001634.

## Extended-Release Naltrexone Improves Viral Suppression among Incarcerated Persons Living with HIV with Opioid Use Disorders Transitioning to the Community: Results of a Double-Blind, Placebo-Controlled Randomized Trial

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### Abstract

**Objective**—To determine if extended-release naltrexone (XR-NTX) would improve or maintain viral suppression (VS) among prisoners or jail detainees with HIV and opioid use disorders (OUD) transitioning to the community.

**Design**—A four-site, prospective randomized double-blind, placebo-controlled trial was conducted among prison and jail inmates with HIV and OUD transitioning to the community from September 2010 through March 2016.

**Methods**—Eligible participants (N=93) were randomized 2:1 to receive 6 monthly injections of XR-NTX (n=66) or placebo (n=27) starting at release and observed for 6 months. The primary outcome was the proportion that maintained or improved VS (<50 copies/mL) from baseline to 6 months.

**Results**—Participants allocated to XR-NTX significantly improved to VS (<50 copies/mL) from baseline (37.9%) to 6-months (60.6%) (p=0.002), while the placebo group did not (55.6% at

baseline to 40.7% at 6-months  $p=0.294$ ). There was, however, no statistical significant difference in VS levels at 6 months between XR-NTX (60.6%) vs. Placebo (40.7%) ( $p=0.087$ ). After controlling for other factors, only allocation to XR-NTX (aOR=2.90; 95% CI=1.04–8.14,  $p=0.043$ ) was associated with the primary outcome. Trajectories in VS from baseline to 6 months differed significantly ( $p=0.017$ ) between treatment groups, and the differences in the discordant values were significantly different as well ( $p=0.041$ ): the XR-NTX group was more likely than the placebo group to improve VS (30.3% vs 18.5%); maintain VS (30.3% vs. 27.3), and less likely to lose VS (7.6% vs. 33.3%) by 6 months.

**Conclusion**—XR-NTX improves or maintains VS after release to the community for incarcerated PLH with OUD.

### Keywords

HIV; Viral Load; HIV-1 RNA; Opioid Use Disorder; Extended-Release Naltrexone; prisoners; jail; criminal justice system; randomized controlled trial

## Introduction

To increase the likelihood of viral suppression (VS), International guidelines recommend directly administered antiretroviral therapy (DAART) for prisoners with HIV transitioning to the community, and in community settings, HIV patients with opioid use disorder (OUD) should be offered methadone or buprenorphine with or without DAART.<sup>1</sup> Such guidelines have not been updated in recent years.

Both HIV and opioid use disorder (OUD) are highly prevalent among persons within the criminal justice system (CJS).<sup>2–5</sup> Release to the community for people living with HIV (PLH) is associated with loss of HIV viral suppression (VS), despite high levels attained during the incarceration.<sup>3,4,6,7</sup> Moreover, for released prisoners with OUD, relapse exceeds 85%, mostly within the first two weeks and is associated with overdose and death.<sup>8–10</sup> Inadequately treated OUD interrupts HIV treatment adherence with resultant loss of VS.<sup>11,12</sup>

Three evidence-based medication treatments for OUD are available, including two opioid agonists (methadone and buprenorphine) and one opioid antagonist (injectable extended-release naltrexone: XR-NTX, Vivitrol®). Unlike opioid agonists, XR-NTX is not a controlled substance, does not require regulatory licensing for prescription, also treats alcohol use disorders, and is without diversion concerns.<sup>13–19</sup> In criminal justice involved persons with OUD, XR-NTX has been associated with decreased opioid use.<sup>20</sup> Recent randomized controlled trials confirm its equivalence in treatment of OUD with buprenorphine in community settings.<sup>21,22</sup> Despite data suggesting that buprenorphine maintains or improves VS in released prisoners with HIV,<sup>11,12</sup> the use of XR-NTX has not been tested on HIV VS.

We therefore sought to examine in a multi-site study if treatment with XR-NTX would improve or maintain VS levels after release in prisoners and jail detainees with HIV and OUD using a double blind, placebo-controlled trial.

## Methods

### Study Design

The study protocol and detailed methods have previously been published,<sup>13</sup> along with preliminary safety data,<sup>17</sup> and early post-release retention data.<sup>16</sup> This multi-site, double-blind, placebo-controlled trial was conducted between September 1, 2010 and March 31, 2016 and compared XR-NTX with placebo among incarcerated people living with HIV (PLH) with OUD transitioning to the community over a six-month period.

### Ethical Oversight

All study procedures were reviewed and approved by the Institutional Review Boards (IRB) at all four study sites, the Office of Human Research Protections at the Department of Health and Human Services, and research committees at Hampden County Correctional Centers (HCCC) and the Connecticut Department of Correction (CT DOC). A Certificate of Confidentiality was obtained for additional participant protections. The study is registered at [www.clinicaltrials.gov](http://www.clinicaltrials.gov) (NCT01246401).

### Recruitment

Recruitment occurred between September 2010 and August 2015. Initial referrals were made by nursing and transitional care staff within prison or jail with confirmatory screening and informed consent by study personnel.

### Study Eligibility Criteria

**Inclusion criteria**—1) HIV-seropositive; 2) returning to three sites in Connecticut (New Haven, Hartford, Waterbury) or Springfield, Massachusetts; 3) DSM-IV criteria for opioid dependence; 4) able to provide informed consent; 5) speaks English or Spanish; 6) age 18 years; 7) not receiving methadone or buprenorphine or involved in an antiretroviral treatment (ART) adherence trial in the previous 30 days; and 8) within 30 days of release from prison or jail.

**Exclusion criteria**—1) threatening behavior toward research staff or other participants; 2) other pending charges; 3) receiving opioid pain medications or expressing a need for them; 4) known hypersensitivity to naltrexone or its diluent components; and 5) study medication contraindications that included: a) aspartate aminotransferase (AST) or alanine aminotransferase (ALT) elevations (>5× upper limit of normal); b) evidence of Child Pugh Class C cirrhosis; or c) breastfeeding, pregnant or unwilling to use contraception for female participants.

### Informed Consent Process and Enrollment

Study personnel completed informed consent procedures with eligible and interested individuals; consent was repeated immediately after release to prevent real or perceived coercion.

## Randomization

Participants were then randomly allocated 2:1 to receive 380mg of XR-NTX or placebo (provided in-kind by Alkermes, Inc.), administered intramuscularly every 4 weeks for six months. A covariate adaptive stratified block randomization was performed<sup>23–25</sup> using the study site and whether ART was prescribed or not.

## Study Measures

After enrollment, participants underwent baseline assessments, monthly follow-up interviews and laboratory assessments for six months<sup>13</sup> using a computer-assisted survey instrument (CASI).<sup>26,27</sup> Structured interviews included demographic information, housing and health care status, mental health co-morbidities (Mini International Neuropsychiatric Interview [M.I.N.I.]),<sup>28,29</sup> depressive symptoms (Brief Symptom Inventory-18),<sup>30</sup> quality of life (12-item Short Form Health Survey (SF-12)),<sup>31</sup> Alcohol Use Disorder Identification Test (AUDIT),<sup>32</sup> and daily opioid use reports using a structured Timeline Follow-back (TLFB).<sup>33,34</sup> Biological measures included: monthly urine drug toxicology screens, urine pregnancy tests for female participants, and quarterly phlebotomy to assess HIV-1 RNA levels. Tolerability and adverse events were monitored monthly using the Systemic Assessment For Treatment Emergent Effects Intervention (SAFTEE),<sup>35</sup> and also included liver function tests and injection site reaction assessments.

## Study Procedures

Study injections were administered within one week before or on the day of release and then monthly for five additional months (N=6 potential injections). During injection procedures, all participants received a brief 15-minute medical management (MM) counseling intervention.<sup>36</sup> Optional individual drug counseling sessions and 12-step group counseling meetings were available to all participants. Participants were compensated for contributing their time to the research activities and not for receiving study medication.

## Sample Size and Power Calculations

We calculated an original sample size of 150 (XR-NTX=100 and placebo=50) needed to detect a statistically significant difference in the primary outcome at 6 months between the two groups. This incorporated a two-sided alpha=0.05, beta=0.20, and a compound symmetry true correlation structure of 0.5 (the most conservative, based on our results from earlier studies where our prison-release data suggested that 59% of HIV+ inmates leave prison with VS<sup>6,37</sup>). Calculations also included oversampling (2:1 randomization) those receiving XR-NTX due to potential adverse events.

## Participant disposition

Of the 222 PLH referred to the study, 151 consented and 93 were included in the final analytical sample; 66 were randomized to receive XR-NTX and 27 to receive placebo. The CONSORT diagram is depicted in Figure 1.

## Statistical Analysis

### Baseline Characteristics

Baseline characteristics were compared between the two study treatment groups utilizing paired t-tests, Fisher's Exact, ANOVA, and chi-squared to assess for differences using SPSS and *R*.

### Missingness Analysis

Overall 14.1% of participants had missing HIV-1 RNA data at 6-months post-release. Using Little's MCAR ("Missing Completely at Random") test<sup>38</sup> using the *BaylorEdPsych* package in *R*,<sup>39</sup> we explored the structure of the missing data to determine if the data were MCAR and not related to the dependent or independent variables. The highly non-significant results ( $p=0.560$ ) suggested that the missing data were not statistically related to the main outcome (VS), viral load (VL) at baseline, nor any of the variables used in the analysis, most importantly, treatment assignment or number of XR-NTX injections. High  $p$ -values for Little's MCAR test suggest that further missingness inquiries using sensitivity analysis are not merited because the data were clearly neither Missing at Random nor Not Missing at Random.<sup>40,41</sup> Consequently, we were able to maintain the most conservative standard Intention-to-Treat (ITT) assumption that missingness from participant attrition equals viral non-suppression (missing=failure). This is the standard analytic method for regulatory submission of HIV-1 RNA data to the U.S. Food and Drug Administration,<sup>42</sup> which provides the most sensitive and conservative detection limits available and used previously in prospective trials of PLH where HIV-1 RNA is the outcome. We did, however, make adjustments such that if a participant had both VS confirmed at 3 months and 9 months (before or after the 6-month censor period of data analysis) then that data was considered in the 6-month missing outcome evaluation and not simply denoted as 'failure'. Of note, there was no statistically significant difference in available VL data between treatment groups at 6 months (88.9% placebo, 84.9 % XR-NTX,  $p=0.597$ ).

### Outcome Variables

**Primary Outcome: Intention-to-Treat (ITT) Analysis of Viral Suppression from Baseline to 6 Months**—The original pre-determined primary outcome was VS defined as HIV-1 RNA <400 copies/mL after 6 months of observation, primarily because our prior studies of released HIV prisoners had a lower limit of VS at <400 copies/mL.<sup>6,13,37</sup> After finalizing study protocols, standard clinical practice used more stringent VS cut-offs (<50 copies/mL) as the lower limit of detection. We therefore report findings using maximal VS (<50 copies/mL) as the primary outcome,<sup>43</sup> however, VS <400 copies/mL is also reported. Using an ITT strategy, the primary outcomes involved a comparison of the changes in maximal VS levels (<50 copies/mL) from baseline to 6 months after release. Our hypothesis was that effective treatment of OUD would maintain VS for those already on ART, and for those not on it at the time of enrollment (either by preference while incarcerated due to confidentiality concerns), they might be more likely to initiate it.<sup>11,44</sup> Consequently, the change in VS from baseline to 6 months best reflected how participants would do over time either with or without effective treatment of OUD. After dichotomizing VS as suppressed

(<50 copies/mL) or not, changes in VS were assessed using Welch's t-test using *R* statistical software,<sup>45</sup> with  $p < 0.05$  as being statistically significant.

In addition, the principal outcome of VS required a further more nuanced analysis since 4 possible VS suppression trajectories were possible from baseline to 6 months: (1) maintained VS; (2) improved to VS; (3) lost VS from baseline to six months; and (4) remained detectable at baseline and six months. Using Pearson's Chi-squared test, we compared the distribution of the placebo to the XR-NTX arms across these four possible outcomes. To further capture changes in VS from baseline to 6 months, we applied McNemer's Chi-squared test using the *exact2x2*<sup>46–48</sup> package in *R* to the discordant outcomes where VS status had changed.

The mean change in VL (copies/mL) was also analyzed between treatment arms comparing the baseline with 6-month time-points. The negative values for changes in the XR-NTX group precluded the usual log transformation, thus we used the original data and report the mean changes.

**Multiple Logistic Regression Analysis of Predictors of Viral Suppression at 6 Months**—After confirming that a statistically significant difference was found for changes in VS, we explored predictive variables guided by the literature,<sup>7,16</sup> including treatment group assignment and the number of injections received to further explain independent predictors for maximal VS (<50 copies/ml). A backward stepwise model selection “step” algorithm in *R* then sequentially eliminated variables until we achieved models with the best goodness-of-fit using the Akaike information criterion (AIC), as they yielded the most parsimonious results.

#### **Other Secondary Outcomes Statistical Analysis Methods**

**Opioid abstinence and time to relapse to opioid use:** Daily opioid use was assessed for the 30 days prior to incarceration and monthly throughout the study follow-up period utilizing the TLFB.<sup>49</sup> Variables generated from this tool included the number of consecutive days abstinent or time to first opioid use at the end of the 6-month intervention period. We performed a Kaplan-Meier test for time to first opioid use, or more specifically as an adjustment for the censoring of the end of the observation period at six months, using the study's TLFB self-reported data and monthly urine toxicology screens. We considered the observations “reported days of consecutive abstinence in six months”. Those who dropped out of the study were most conservatively assumed to have resumed opioid use. The participants with missing data therefore reported no days of abstinence. Because previous studies have confirmed the effect of XR-NTX on opioid relapse and abstinence, this study was not powered to detect this outcome, but instead was intended for use as a planned “as treated” to complement the ITT analysis. We grouped participants into those (1) who had received three or more injections of XR-NTX (N=22 participants) and (2) those who received two or fewer XR-NTX injections or were in the placebo group (N=71) in the other group. Statistical significance was tested using the log rank test and Welch's t-test for days of continuous reported abstinence.

**Adverse Events:** Chi-squared analyses were used to explore the differences in side effects between the treatment groups.

## Results

### Baseline Characteristics

There were no differences in baseline characteristics between treatment arms (Table 1). Participants were on average in their mid-40s, mostly men (81.7%), racial/ethnic minorities (85.7%), homeless or unstably housed (63.4%), prescribed ART (89.1%), co-infected with chronic Hepatitis C virus (83.5%), had prior pre-incarceration experience with methadone and/or buprenorphine (75.3%), and were incarcerated for a mean duration of 8.8 months. Central to the analysis, baseline VS levels at <400, <200 and <50 copies/mL were 64.5%, 58.1% and 43.0%, respectively, and not statistically significantly different. There were also no differences in mean baseline CD4 count (465 vs 581 cells/mL;  $p=0.088$ ).

### HIV Treatment Retention

There were no statistically significant differences between the two groups at 6 months in the proportion of those: with HIV VL data (XR-NTX=84.9%, Placebo=88.9%;  $p=0.597$ ); who completed 6-month study interviews (XR-NTX=49.5%, placebo=50.5%;  $p=0.822$ , see Figure 5); or who were retained for study injections (66.7% received 2 or fewer study injections and 35.5% received 3–6 study injections; see Table 1).

### Primary Outcome: Viral suppression at 6-Months

Compared to the placebo group that decreased VS levels over time (55.6% at baseline to 40.7% at 6 months,  $p=0.294$ ), the XR-NTX group had a statistically significant improvement in the proportion who maintained or achieved VS at <50 copies/mL from baseline (37.9%) to 6 months (60.6%) ( $p=0.002$ ) (Figure 2). A direct comparison of VS levels at 6 months between the two treatment groups, however, approached statistical significance (XR-NTX=60.6%, Placebo=40.7%;  $p=0.08$ ). For higher VS levels (<400 copies/mL), there were no time differences in VS levels for the XR-NTX (63.6% at baseline to 68.2% at 6 months;  $p=0.47$ ) or placebo (66.7% at baseline to 59.3% at 6 months;  $p=0.574$ ). Similarly for this level of VS, the XR-NTX and placebo groups did not differ significantly at 6 months (68.2% vs 59.3%;  $p=0.43$ , respectively).

When comparing the distribution of the 4 possible outcomes (Figure 3): (1) the XR-NTX group was significantly more likely to improve to VS (<50 copies/mL) levels at 6 months compared to placebo (30.3% vs 18.5%); (2) maintain VS at 6 months (30.3% vs 27.3%); and (3) less likely to lose VS (7.6% vs 33.3%) at 6 months (Pearson's Chi-Square  $p=0.017$ ; McNemer's Chi Square,  $p=0.043$ ). Additionally, when evaluating further the participants who had a (4) detectable VL at the time of release to 6 months, the XR-NTX group also statistically significantly reduced the mean VL by -6,515.7 copies/mL while the placebo group increased the mean VL by +9,081.4 copies/mL ( $p=0.031$ ).



## Multivariate Analysis of Independent Predictors of Achieving Viral Suppression

When controlling for potential confounders (Table 2), assignment to the XR-NTX group remained significantly associated with the primary outcome. No other variables, including cocaine use disorder, homeless and unstably housed status, and number of injections received, were significant.

## Time to First Opioid Use

The ITT analysis revealed no statistically significant difference in time to first opioid use (continuous days of opioid abstinence) between treatment arms (XR-NTX mean=73.6 days, placebo mean=99.7 days;  $p=0.110$ ) (Figure 4a). In the as-treated analysis (Figure 4b), those who received 3 or more XR-NTX injections had a statistically significantly longer time of continuous days of opioid abstinence (mean=136.4 vs 53.2 days;  $p=0.002$ ) compared to those who received any number of placebo injections or 2 or fewer XR-NTX injections.

## Adverse Events

No serious Grade 3 or 4 hepatic events or any serious injection site or other adverse events occurred in either treatment group. The most common reported side effect (12%) was immediate injection site reaction (redness, soreness) and fatigue (7%), with no statistically significant differences between the groups (Table 3). The study did not evaluate non-fatal opioid overdoses. One participant in the XR-NTX group experienced a fatal opioid overdose 128 days after his last injection, but was determined not to be a study-related treatment serious adverse event by the Yale School of Medicine IRB, correctional system IRBs, Baystate Medical Center IRB, Alkermes Inc. review board, or by NIDA.

## Discussion

To our knowledge, this is the first randomized, placebo-controlled, double-blind trial that examined whether an evidence-based pharmacotherapy to treat OUD, XR=NTX, resulted in improved viral suppression levels in prisoners and jail detainees with HIV who were released from prison or jail. The key findings from this trial were that maximal VS (<50 copies/mL) was maintained or improved from time of release to the end of the 6-month treatment intervention in those who received XR-NTX, while those who received placebo had decreasing VS levels over time. Furthermore, receiving XR-NTX was statistically associated with a lower proportion of persons losing VS as compared to placebo. After controlling for other factors associated with poor HIV treatment outcomes after release, assignment to XR-NTX alone predicted VS at 6 months after release. These findings have important implications for individual management of PLH with OUD being released from a CJS setting and from a public health perspective.

Recent longitudinal data suggest that in the absence of treatment of OUD, linkage to HIV care post-release is poor and associated with poor VS levels that decreases over time.<sup>50</sup> Strategies that optimize VS over time are more likely to promote individual health, but also public health through treatment as prevention efforts.



These findings are especially relevant given the volatile opioid epidemic and associated transmission of HIV and HCV. For prisoners and jail detainees with OUD, including those with HIV, relapse to opioid use exceeds 85%, often within the first two weeks,<sup>10</sup> and results in interruptions in HIV care,<sup>11</sup> overdose and death.<sup>7</sup> In prisoners without HIV, XR-NTX markedly reduces opioid relapse and use.<sup>20</sup> This study extends these findings and documents for the first time that XR-NTX stabilizes PLH sufficiently to stabilize them so that they can continue and adhere to ART and maintain or achieve VS.

The mechanism by which XR-NTX maintained or improved VS is not fully understood. In another study of prisoners with HIV and alcohol use disorders, XR-NTX significantly reduced alcohol consumption and exerted its effect on VS.<sup>51</sup> The current trial was not powered to demonstrate a difference in opioid relapse outcomes, which were measured using more complex metrics in prior studies of XR-NTX.<sup>14,20</sup> In the current trial, however, the only opioid use outcome measured was time to opioid relapse, which was not statistically different between those receiving XR-NTX or placebo in the ITT analysis. A more robust opioid use outcome variable, which might have included a combination of time to relapse, days of opioid use, or continuous days of opioid use might have provided insights into how XR-NTX might have exerted its influence.

In addition, despite a low number of participants, retention on XR-NTX was associated with a longer time to relapse (continued abstinence). Participants who received 3 or more XR-NTX injections had a significantly longer time of continuous abstinence as compared to those who received any number of placebo injections or those who received 2 or fewer injections of XR-NTX. This finding supports longitudinal studies of released prisoners with HIV and OUD who had better HIV treatment outcomes if they were able to remain on buprenorphine longer,<sup>11</sup> a medication-assisted treatment for OUD that is a partial opioid agonist/antagonist. Strategies that improve retention on OUD treatment are therefore crucial to optimize VS levels and are especially challenging when using antagonist-based treatments like XR-NTX.<sup>16</sup> Cohort studies of released prisoners with HIV, irrespective of having an OUD, suggest VS levels markedly decrease within the first three months after release.<sup>3,4,6,50</sup> This period is therefore especially crucial to ensure adequate treatment for both HIV and OUD. Given the chronic and relapsing nature of both HIV and OUD, each of which need a lifetime of treatment, future studies should not only treat and observe patients longer, but should be conducted using other medication-assisted therapies for OUD, like methadone and buprenorphine.

In addition to efficacy outcomes, treatment with XR-NTX is safe, especially given that 80% of the sample had chronic HCV infection. The fatal overdose that occurred that was not related to the study, however, remains concerning and has been raised as a concern in other studies of XR-NTX.<sup>52</sup> Death occurred in one participant in the XR-NTX group 128 days after the last injection. This finding is consistent with all other studies of treatment of OUD where discontinuation of treatment, irrespective of the medication, is associated with increased overdose-related death.<sup>53-56</sup> Prior studies have shown that XR-NTX protects against opioid overdose.<sup>20</sup>

Despite the important findings and implications of these research findings, some limitations remain. The lower than anticipated sample size concerns have been discussed elsewhere,<sup>13,16</sup> but related to introduction of methadone in Connecticut and alternatives to incarceration strategies resulting in fewer numbers of PLH in prison in Connecticut and Massachusetts. Attrition from the study was high, but similar to other studies of released prisoners with OUD.<sup>6,57</sup> Despite attrition from the study, VL measurements were high resulting in relatively few missing data that were MCAR, allowing imputation of conservative missing=failure assumptions. This assumption, however, is typically what is considered in ‘real-world’ treatment settings of PLH where the association between poor retention, particularly ‘no-show’ behavior, and poorer biological outcomes is evidenced by virological failure and mortality.<sup>58,59</sup> Despite the missing data and lower than expected sample size, the findings remain robust. A larger sample size and better measures of opioid use might have provided better insights into additional factors that might have contributed to VS in this sample.

## Conclusion

Findings from this study inform guidelines for treating transitioning prisoners with HIV and OUD with XR-NTX to improve HIV treatment outcomes. Future strategies, however, must optimize treatment retention to reduce opioid use and maintain or increase VS. When XR-NTX is initiated just before release and maintained thereafter, it results in both improved individual and public health benefits. Not only was XR-NTX found to be efficacious, it is also safe in PLH and high levels of HCV.

## Acknowledgments

**Funding:** This research was funded by the National Institutes on Drug Abuse (R01DA030762, Springer) and for career development by the National Institutes on Drug Abuse (K02 DA032322 for Springer and K24 DA017072 for Alice). Both study medication and placebo were provided in-kind from an investigator-initiated application from Alkermes, Inc. The funders were not involved in the research design, analysis or interpretation of the data or the decision to publish the manuscript.

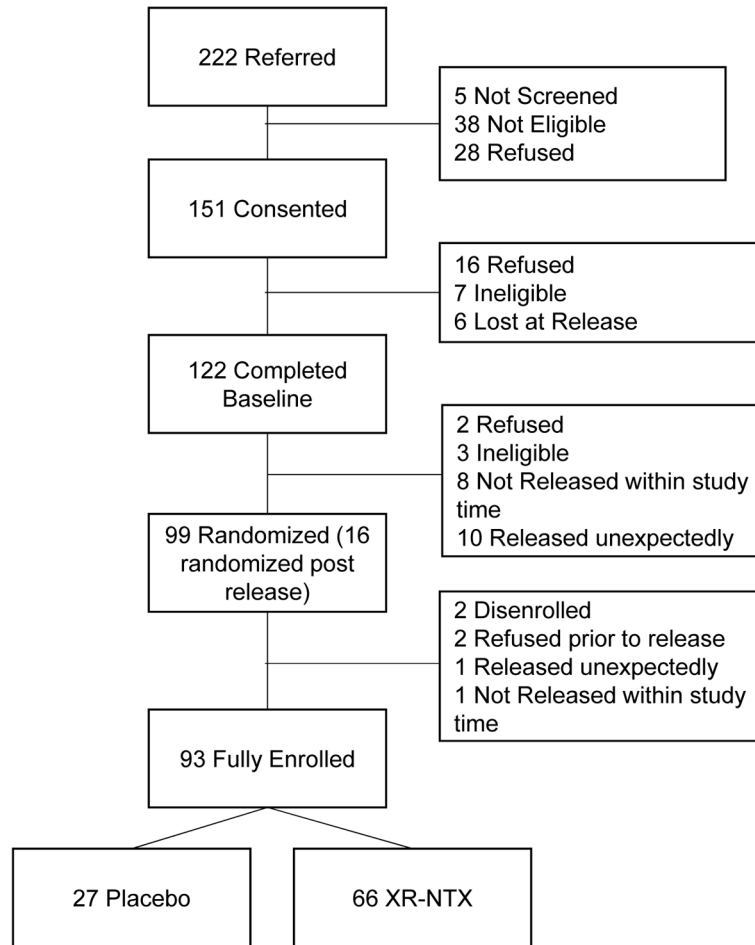
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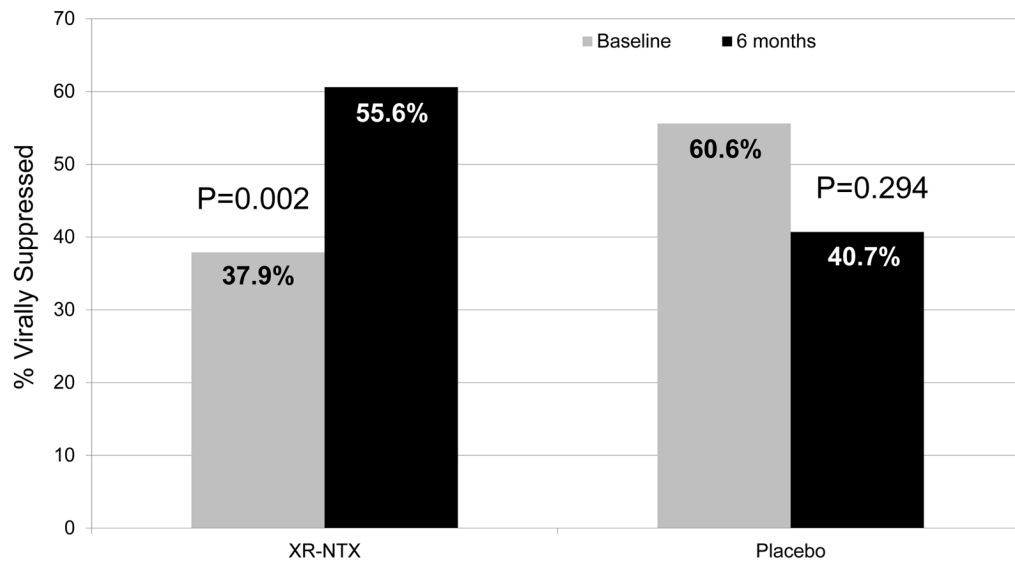
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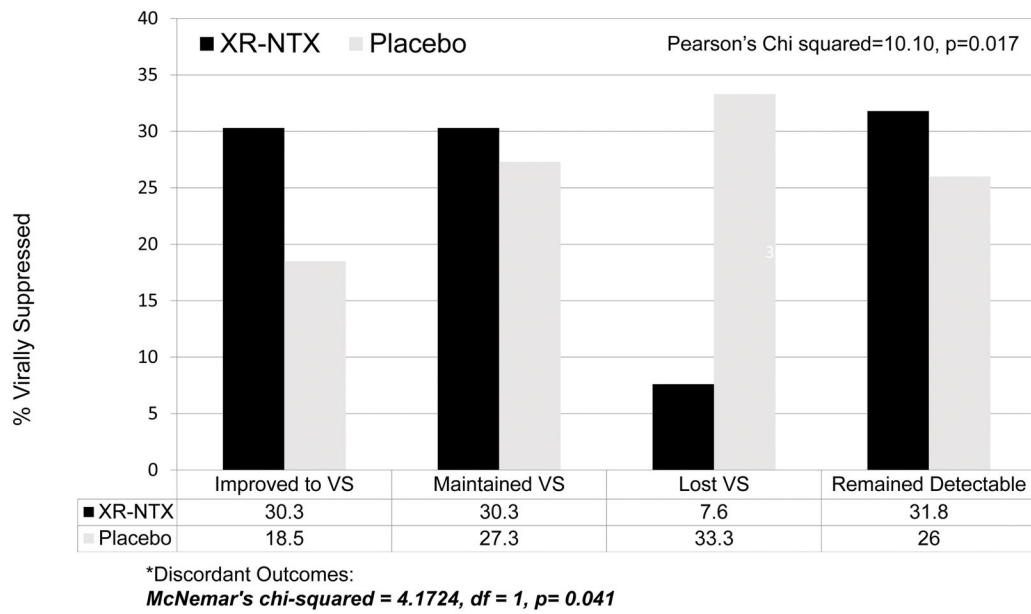


**Figure 1.**  
Study Enrollment Flow Chart.



**Figure 2.** Change in Viral Suppression (<50 copies/mL) from Baseline to 6 months





**Figure 3.** Distribution of Viral Suppression (<50 copies/mL) Category by Treatment Group From Baseline to 6 Months  
 Pearson chi squared was evaluating difference in distribution of observations for the 4 categories between groups and was statistically significant (p=0.017). Discordant observation over time within groups and Between groups was evaluated with McNemar's chi-square test, and was statistically significant (p=0.041)

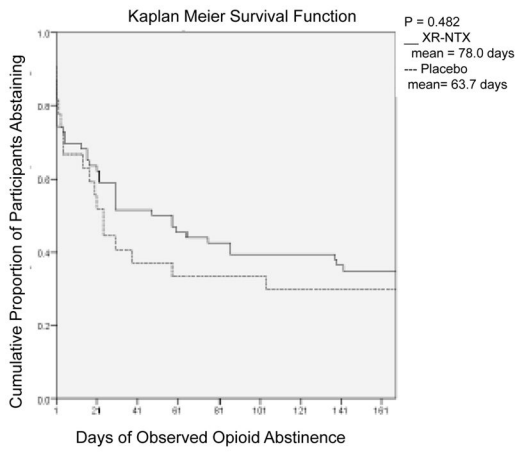


Figure 4a. Intention to Treat Analysis  
Days of Continuous Abstinence

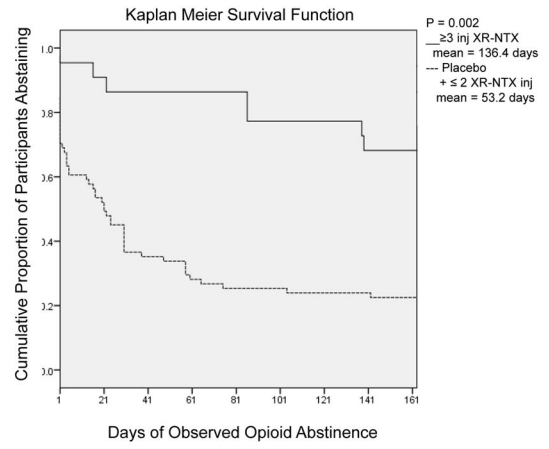
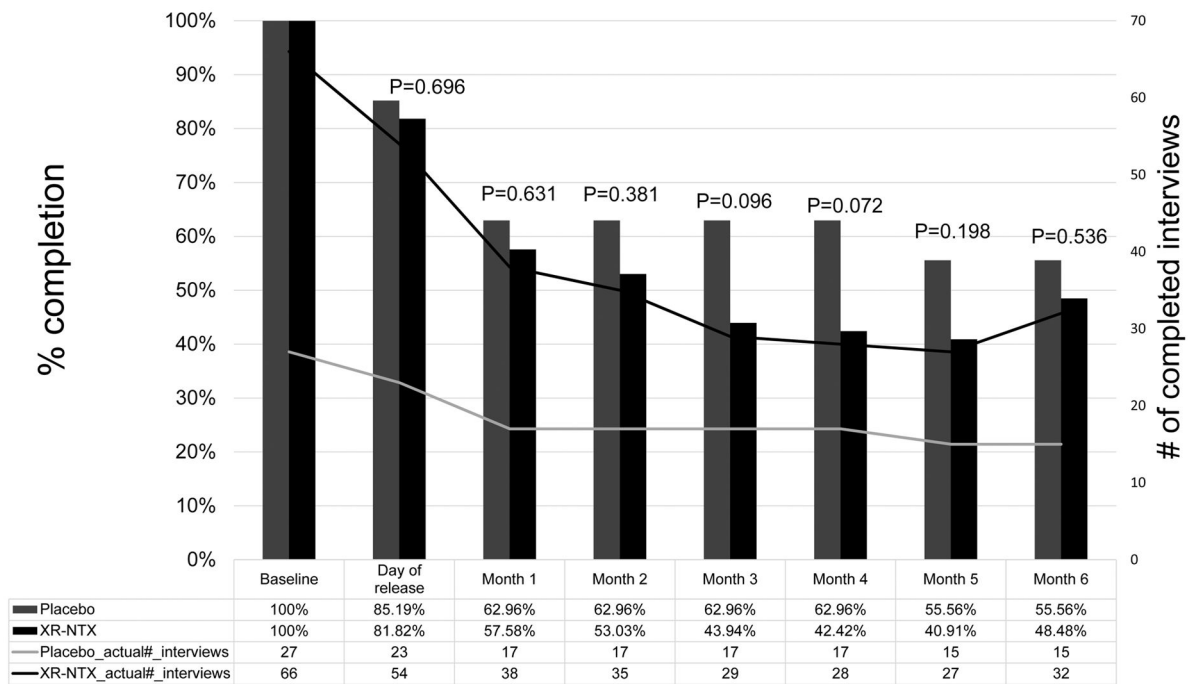


Figure 4b. As-Treated Analysis  
Days of Continuous Abstinence

**Figure 4.**  
Kaplan Meier Curve Days of Continuous Opioid Abstinence  
4a. Intention to treat analysis; 4b. As-treated Analysis by Treatment Grouping.



**Figure 5. Six month Study retention**  
 XR-NTX=extended-release naltrexone

**Table 1**

## Baseline Characteristics

| Variable  | XR-NTX<br>N=66 (%) | Placebo<br>N=27 (%) | Total<br>N=93 (%) | <i>p</i> Value |
|---|--------------------|---------------------|-------------------|----------------|
| Gender  |                    |                     |                   |                |
| Male  | 55 (83.3)          | 21 (77.8)           | 76 (81.7)         | 0.562          |
| Female  | 11 (16.7)          | 6 (22.2)            | 17 (18.3)         |                |
| Ethnicity   |                    |                     |                   |                |
| Black   | 17 (25.8)          | 6 (22.2)            | 23 (24.7)         | 0.806          |
| Hispanic  | 42 (63.3)          | 19 (70.4)           | 61 (65.6)         |                |
| White   | 7 (10.6)           | 2 (7.4)             | 9 (9.7)           |                |
| Age in Years, Mean (SD)                                     | 46.6 (8.3)         | 43.9 (7.8)          | 45.8 (8.2)        | 0.147          |
| Completed GED or High School                                | 37 (56.1)          | 12 (44.4)           | 49 (52.7)         | 0.308          |
| Referred from   |                    |                     |                   |                |
| Prison  | 14 (21.2)          | 7 (25.7)            | 21 (22.6)         | 0.729          |
| Jail  | 49 (74.2)          | 18 (66.7)           | 67 (72.0)         |                |
| Community   | 3 (5.4)            | 2 (7.4)             | 5 (5.4)           |                |
| Mean Incarceration (months; SD)                             | 8.5 (10.0)         | 9.3 (12.0)          | 8.8 (10.5)        | 0.735          |
| Study Site  |                    |                     |                   |                |
| Greater New Haven   | 24 (36.4)          | 10 (37.0)           | 34 (36.6)         | 0.668          |
| Greater Hartford  | 32 (48.5)          | 11 (40.7)           | 43 (46.2)         |                |
| Greater Springfield   | 10 (15.2)          | 6 (22.2)            | 16 (17.2)         |                |
| Housing status  |                    |                     |                   |                |
| Stable  | 23 (34.8)          | 11 (40.7)           | 34 (36.6)         | 0.365          |
| Unstable  | 19 (28.8)          | 4 (14.8)            | 23 (24.7)         |                |
| Homeless  | 24 (36.4)          | 12 (44.4)           | 36 (38.7)         |                |
| Chronic Hepatitis C (N=79)                                  | 46 (83.6)          | 20 (83.3)           | 66 (83.5)         | 1.000          |
| Currently Prescribed ART                                    | 58 (89.2)          | 24 (88.9)           | 82 (89.1)         | 1.000          |
| Prescribed ART Based Regimen (N=82)                         |                    |                     |                   |                |
| Protease Inhibitor (PIs)                                    | 26 (44.8)          | 5 (20.8)            | 31 (37.8)         | 0.105          |
| Non-Nucleoside Reverse<br>Transcriptase Inhibitors (NNRTIs) | 17 (29.3)          | 13 (54.2)           | 30 (36.6)         |                |
| Integrase Inhibitors  | 7 (12.1)           | 4 (16.7)            | 11 (13.4)         |                |
| Combination   | 8 (13.8)           | 2 (8.3)             | 10 (12.2)         |                |
| HIV-RNA Viral Load (copies/mL) (N=93)                       |                    |                     |                   |                |
| < 400   | 42 (63.6)          | 18 (66.7)           | 60 (64.5)         | 0.784          |
| < 200   | 37 (56.1)          | 17 (63.0)           | 54 (58.1)         | 0.544          |

| Variable   | XR-NTX<br>N=66 (%) | Placebo<br>N=27 (%) | Total<br>N=93 (%) | <i>p</i> Value |
|--|--------------------|---------------------|-------------------|----------------|
| < 50   | 25 (37.9)          | 15 (55.6)           | 40 (43.0)         | 0.129          |
| HIV-RNA Viral Load (copies/mL)                           |                    |                     |                   |                |
| Mean (SD)  | 21,439 (85,004)    | 4,535 (13,238)      | 16,478 (72,054)   | 0.308          |
| Log <sub>10</sub> Mean (SD)                              | 2.47 (1.3)         | 2.18 (1.1)          | 2.38 (1.2)        | 0.313          |
| Mean CD4 count (SD)                                      | 465.2 (273.8)      | 580.8 (336.8)       | 498.8 (296.3)     | 0.088          |
| Mini International Neuropsychiatric Interview (M.I.N.I.) |                    |                     |                   |                |
| Bipolar Disorder   | 9 (14.8)           | 2 (8.3)             | 11 (12.9)         | 0.721          |
| Major Depressive Disorder                                | 15 (24.6)          | 9 (37.5)            | 24 (28.2)         | 0.234          |
| PTSD   | 10 (16.4)          | 5 (20.0)            | 15 (17.4)         | 0.757          |
| Generalized Anxiety Disorder                             | 9 (14.8)           | 4 (16.0)            | 13 (15.1)         | 1.000          |
| Brief Symptom Index, Depression (N=89)                   | 25 (38.5)          | 11 (45.8)           | 36 (40.4)         | 0.529          |
| ASI Scores, Median (range)                               |                    |                     |                   |                |
| Drug   | 0.40(0.00–0.66)    | 0.46(0.16–0.78)     | 0.43(0.00–0.78)   | 0.173          |
| Alcohol  | 0.00(0.00–0.97)    | 0.00(0.00–0.65)     | 0.00(0.00–0.97)   | 0.242          |
| Quality of Life, SF-12, Median (range)                   |                    |                     |                   |                |
| Physical   | 52.5(26.0–62.7)    | 50.8(23.5–59.7)     | 51.7(23.5–62.7)   | 0.441          |
| Mental   | 42.2(15.3–66.3)    | 42.7(17.9–59.8)     | 42.6(15.3–66.3)   | 0.950          |
| Alcohol Use Severity (by AUDIT score)                    |                    |                     |                   |                |
| Abstinent or Low-Risk Drinking                           | 42 (64.6)          | 23 (85.2)           | 65 (70.7)         | 0.097          |
| Hazardous Drinking                                       | 11 (16.9)          | 2 (7.4)             | 13 (14.1)         |                |
| Harmful Drinking   | 2 (3.1)            | 0 (0.0)             | 2 (2.2)           |                |
| Possible Dependence                                      | 10 (15.4)          | 2 (7.4)             | 12 (13.0)         |                |
| Opioid Craving (scale of 0–10)                           |                    |                     |                   |                |
| Mean (SD)  | 3.2 (3.6)          | 3.5 (3.8)           | 3.3 (3.6)         | 0.700          |
| Substance Use (years; SD)*                               |                    |                     |                   |                |
| Alcohol Mean   | 13.5 (15.2)        | 9.2 (11.6)          | 12.2 (14.3)       | 0.186          |
| Cannabis Mean  | 14.0 (14.3)        | 12.8 (12.5)         | 13.6 (13.7)       | 0.705          |
| Cocaine Mean   | 17.5 (11.4)        | 18.7 (8.6)          | 17.9 (10.6)       | 0.634          |
| Heroin Mean  | 20.1 (11.2)        | 18.4 (10.2)         | 19.6 (10.9)       | 0.491          |
| Other Opioids  | 2.8 (7.2)          | 3.2 (5.4)           | 2.9 (6.7)         | 0.818          |
| Positive Urine Toxicology Result                         |                    |                     |                   |                |
| Opioids  | 8 (12.1)           | 3 (11.1)            | 11 (11.8)         | 0.968          |
| Cocaine  | 11 (16.7)          | 5 (18.5)            | 16 (17.3)         | 0.739          |
| Substance Use Disorder via M.I.N.I.                      |                    |                     |                   |                |
| Alcohol Use Disorder                                     | 18 (29.5)          | 5 (20.0)            | 23 (26.7)         | 0.366          |
| Cannabis Use Disorder                                    | 16 (26.2)          | 6 (25.0)            | 22 (25.9)         | 0.907          |

| Variable                       | XR-NTX<br>N=66 (%) | Placebo<br>N=27 (%) | Total<br>N=93 (%) | <i>p</i> Value |
|--------------------------------|--------------------|---------------------|-------------------|----------------|
| Cocaine Use Disorder           | 47 (77.0)          | 21 (87.5)           | 68 (80.0)         | 0.373          |
| Previous Experience with MAT   | 51 (77.3)          | 19 (70.4)           | 70 (75.3)         | 0.484          |
| Methadone Lifetime             | 43 (84.3)          | 17 (89.5)           | 60 (85.7)         | 0.717          |
| Methadone past 30 days         | 16 (37.2)          | 5 (29.4)            | 21 (35.0)         | 0.568          |
| Buprenorphine Lifetime         | 34 (66.7)          | 11 (57.9)           | 45 (64.3)         | 0.496          |
| Buprenorphine past 30 days     | 14 (41.2)          | 6 (54.5)            | 20 (44.4)         | 0.500          |
| Injections received            |                    |                     |                   |                |
| 0–2                            | 44 (66.7)          | 18 (66.7)           | 62 (66.7)         | 0.91           |
| 3–6                            | 24 (36.4)          | 9 (33.3)            | 33 (35.5)         |                |
| Cumulative injections received |                    |                     |                   |                |
| 1                              | 45 (68.2)          | 17 (63.0)           | 62 (66.7)         | 0.628          |
| 2                              | 28 (42.4)          | 10 (37.0)           | 38 (40.9)         | 0.631          |
| 3                              | 24 (36.4)          | 9 (33.3)            | 33 (35.5)         | 0.782          |
| 4                              | 15 (22.7)          | 7 (25.9)            | 22 (23.7)         | 0.742          |
| 5                              | 9 (13.6)           | 5 (18.5)            | 14 (15.1)         | 0.550          |
| 6                              | 10 (15.2)          | 4 (14.8)            | 14 (15.1)         | 0.967          |

LEGEND: Abbreviations: ART = antiretroviral therapy; ASI = Addiction Severity Index; AUDIT = Alcohol Use Disorders Identification Test; MAT = medication assisted therapy; Mini International Neuropsychiatric Interview = M.I.N.I.; PTSD = post-traumatic stress disorder; SD= standard deviation, SF-12 = Short Form 12;

**Table 2**

Multivariate Models Predictive of Viral Suppression at &lt;50 copies/mL

| Variables             | aOR (95% CI)                | p Value      |
|-----------------------|-----------------------------|--------------|
| (Intercept)           | 0.192 (0.052, 0.704)        | 0.129        |
| Treatment Arm         |                             |              |
| Placebo               | Referent                    |              |
| <b>XR-NTX</b>         | <b>2.902 (1.035, 8.137)</b> | <b>0.043</b> |
| Cocaine Use Disorder  | 2.031(0.753, 5.482)         | 0.162        |
| Insure Housing        | 1.956 (0.740, 5.170)        | 0.207        |
| Number of Injections: |                             |              |
| 2 or less             | Referent                    |              |
| 3 or more             | 1.860 (0.710, 4.872)        | 0.207        |

AIC=118

Legend: Abbreviations: aOR = adjusted odds ratio; CI = 95% confidence interval; XR-NTX = extended-release naltrexone; AIC = Akaike Information Criterion; **BOLD** represents statistically significant.

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**Table 3**

## Adverse Events

| Adverse Event                                      | XR-NTX   | Placebo | Total Sample | <i>p</i> Value |
|--|----------|---------|--------------|----------------|
| <b>Transaminase level 5× Upper Limit of Normal</b> |          |         |              |                |
| Aspartate aminotransferase (AST)                   |          |         |              |                |
| Baseline; N=85                                     | 1% (1)   | 0% (0)  | 1% (1)       | 1.000          |
| Month 6; N=39                                      | 0% (0)   | 0% (0)  | 0% (0)       | N/A            |
| Alanine transaminase (ALT)                         |          |         |              |                |
| Baseline; N=86                                     | 1% (1)   | 0% (0)  | 1% (1)       | 1.000          |
| Month 6; N=39                                      | 7% (2)   | 0% (0)  | 5% (2)       | 1.000          |
| <b>Other Adverse events</b>                        | N=66     | N=27    | N=93         |                |
| Skin and Soft Tissue Infection                     | 3% (2)   | 1% (1)  | 3% (3)       | 1.000          |
| Signs of Edema                                     | 3% (2)   | 4% (2)  | 2% (4)       | 0.577          |
| Immediate Injection Reaction                       | 15% (10) | 4% (2)  | 13% (12)     | 0.498          |
| Injection Site Reaction                            | 3% (2)   | 0% (0)  | 2% (2)       | 1.000          |
| Nausea   | 2% (1)   | 4% (1)  | 2% (2)       | 0.500          |
| Vomiting   | 2% (1)   | 0% (0)  | 1% (1)       | 1.000          |
| Diarrhea   | 5% (3)   | 0% (0)  | 3% (3)       | 0.554          |
| Decreased Appetite                                 | 3% (2)   | 4% (1)  | 3% (3)       | 1.000          |
| Increased Appetite                                 | 3% (2)   | 4% (1)  | 3% (3)       | 1.000          |
| Headache   | 8% (5)   | 0% (0)  | 5% (5)       | 0.317          |
| Dizziness  | 0% (0)   | 0% (0)  | 0% (0)       | N/A            |
| Fatigue  | 9% (6)   | 4% (1)  | 8% (7)       | 0.669          |