Medical Student Research Program

and the

Yale M.D. Thesis Requirement

Guide for Students and Faculty Sponsors:

Please read carefully
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1. HISTORY OF STUDENT RESEARCH AT YALE SCHOOL OF MEDICINE

The presentation of a dissertation has been one of the requirements for the degree of Doctor of Medicine at Yale for over 180 years. Initially, case reports and reviews of literature predominated, but as the scientific method was established in medicine, the faculty required that dissertations presented be based on original investigation either in the laboratory or in the clinic. This experience is considered an important and essential phase of a curriculum which is designed to promote the development of critical judgment, habits of self-education, imagination and scholarship, as well as the acquisition of knowledge and research skills.

The first evidence that the thesis or dissertation was considered a requirement for the degree of Doctor of Medicine is in a statement in the catalog from 1839, which in part reads, “...the candidate must present a dissertation on some subject connected with the medical sciences.” This requirement remains in effect to the present time, and is enthusiastically endorsed by the faculty as a central component of the “Yale System” of general medical education.

The creative discipline required to carry out a project and prepare a thesis enables each student to become a physician-scholar, whether the ultimate objective is research, education, clinical practice or administration. Yale hopes to produce physicians who can evaluate data thoroughly and critically as they must do throughout their professional careers.

The M.D. thesis at Yale University teaches a student how to understand the scientific method from the inside, how to specify a clear research question, how to collect and evaluate data and communicate the knowledge to others, and how to think scientifically and critically for the rest of his/her professional life.

To this day, the Yale School of Medicine has carried on the tradition of required medical student research. This tradition is a hallmark of the Yale system of medical education.
2. MEDICAL STUDENT RESEARCH PROGRAM AT YALE

a. Overview

All students at Yale School of Medicine engage in research and are required to write an M.D. thesis during medical school, with the exception of students who have a Ph.D. degree in the biological sciences before matriculation. A wide choice of subjects for research is permitted. Students may choose basic laboratory projects or clinical projects or may select to do research in an array of disciplines including, but not limited to: epidemiological, humanities and medicine (including ethics and the history of medicine), sociology, economics, or education research. Publications in the literature may serve as the source of data for research. Four basic requirements apply to all thesis research projects: (1) the subject chosen must address an important question in medicine; (2) there must be a clear, concise aim that can be addressed by new knowledge generated by the student’s research; (3) data must be collected and analyzed with the most rigorous methods suited to the research question; and (4) the research mentor must be a full-time faculty member at the School of Medicine.

A close working relationship between the student and faculty research mentor is a major goal of this program and is strongly encouraged. When laboratory research is performed, it is the responsibility of the faculty advisor to provide all necessary space, equipment and supplies, including costs of publication of scientific articles. For non-laboratory investigation, the same commitment to guidance and support is expected, e.g. access to data, statistical analysis packages, statistical and methodological support, costs of abstracts and publications, etc. Weekly conferences between student and advisor are encouraged during the course of the research. The research must be designed and specifically performed by the student with the advice of the faculty mentor. Medical students may not work jointly on a research project.

The research is presented as a formal bound thesis during the fourth year or graduation year and must fulfill the following minimal requirements (see pages 27 to 29 for details):

a. Title Page
b. Summary (in abstract form)
c. A critical review and citation of the work of previous investigators
d. Valid research design
e. Evidence of mastery of appropriate methodology, including a detailed description of what was done by the student and what was done by others.
   Please see details on page 27.
f. Presentation and analysis of research data, including figures and tables
g. Conclusions that are supported by the data
h. A literate presentation
i. Complete bibliography with full citations

Before the written report is presented to the Office of Student Research and the Thesis Committee, it must be approved in writing by the student’s advisor and by a Thesis Review Committee and Thesis Chairperson in the department where the work was performed.

The Thesis Committee acting as a Thesis Awards Committee, reads, critiques and ranks all student theses submitted for honors by various departments and votes a score. The highest ranked papers are presented orally at Student Research Day, chaired by the Dean of the School. Most theses are presented as posters at Student Research Day in May which is widely attended by students and faculty. Fourteen prizes are awarded at graduation for outstanding student research. Prizes are not announced until graduation.
b. Time and Funding Available for Research

Practically all students begin research work during the summer following their first year. During the summer of 2019, 78 (of 84) first year medical students remained in New Haven to work with faculty members on a wide variety of projects and received the NIH pre-doctoral stipend for three months. Many students continue their research work part-time in the afternoons, evenings and weekends during the second year of medical school. Additional three-month blocks are available in the last half of the third year and in the fourth year. Because in the curriculum clinical clerkships run from January of the second year to January of the third year, a full year is available for research and other things (sub-internships, interviewing, electives). Students are expected to do at least 9 months of research during Yale Medical School. The 9 months are composed of 3 months in the summer between years 1 and 2 and at least 6 months later.

A reminder: Data collection can take many months. Additional time is then needed for data analysis, thesis (or manuscript) preparation, submission, and revision (in the case of manuscripts accepted for publication).

Stipends are available to support summer research and all other periods when full-time research is performed. Financial support is not provided for writing the thesis.

Students are discouraged from beginning their thesis work at another institution during the summer between the first and second year. Students who conduct research the summer after their first year at another institution are responsible for obtaining ½ (50%) of your funding from the other institution. You should be certain to have a Yale sponsor before you complete the arrangements at the other school because this Yale faculty sponsor will need to approve your application for 50% funding and will give advice if this work is ultimately used for your thesis and will have the thesis reviewed in his or her department at Yale. This funding restriction does not apply to research carried out at another institution after the summer of the first year.

c. Elements of the Yale Curriculum Favorable for Student Research

The Yale medical curriculum provides an ideal milieu for encouraging research training at the student level because the curriculum:

1. There is a high student/faculty ratio with only 100-105 students per class.

2. Fosters a non-competitive environment through unsigned examinations in basic science courses.

3. There is an emphasis on research, scholarship, and critical thinking, culminating in the required medical student thesis for graduation.

4. Contains fewer hours of scheduled lectures than many leading medical schools in the United States.

Thus, there is substantial time available for training and independent research by students. There is an established tradition for faculty to encourage students as colleagues in a community of scientists. Finally, Yale Medical School recruits an outstanding body of students who at the time of matriculation are aware of the requirements and expectations for creative scholarly work. This system has spawned a large number of eminent M.D. investigators
3. GETTING STARTED

The timeline for first year students selecting a mentor and project is different for those first year students staying at Yale and the small number of students doing global health projects in another country.

Students interested in global health projects should pick a mentor and develop the proposal much sooner (in November and December) as the deadline for the first draft for those applying for Downs Fellowships is January 27, 2020 by 8 am (see page 19).

The suggested timeline for the vast number of students doing research in New Haven is as follows:

![YMS I Summer Research Timeline 2019-2020](image)

Currently, a list of faculty members and their areas of research is available on line. To access the Directory of Faculty Research Interests, open the URL [http://facultyresearchinterests.yale.edu/](http://facultyresearchinterests.yale.edu/). You may search by keywords or by individuals listed in each department.

Drs. Chaudhry and Herzog, Associate Deans of Student Research, are available to discuss options with individual students by appointment. After deciding upon the general area of interest, the student should interview with members of the faculty who are working in that field. After appropriate discussions with several potential mentors and others working in their group, and visits to lab or research group meetings, the student should choose the faculty member with whom he/she wishes to work. The faculty member should make every effort to orient the student to a practical problem that can be fulfilled within the available time. Although it is not necessary that the idea for the thesis originate with the student, it is necessary that the work be his/her own. The student can work as a member of a group project only if the student’s contribution is carried out on an independent basis. Dissertations written jointly by two or more students are not acceptable.
Most Yale M.D. theses average 40-80 pages of text. A minimum of 30 pages of text excluding figures, legends and references is required (see page 27).

**Publications.** The primary goals of the thesis are to engage and expose the student to the full research endeavor: asking an important question; specifying clear, answerable aims; applying appropriate methods and techniques to data collection and analysis; and documenting the work in writing. Although a publication is not required, it is greatly encouraged and a wonderful opportunity for the student to share their work with the larger scientific community. The student should write the first draft and expect to be first author on the manuscript. Papers can be published at any time and are independent of the M.D. Thesis.

**a. Specifying Clear Aims**

Excellent research begins with an excellent research question, specifying clear answerable aims and a hypothesis, including the selection of the appropriate methods and techniques necessary to conduct the research. Ideally, the research question and specific aims should be original and important and should generate substantial interest by leading investigators in the field. It is not excellent research to answer a research question which is highly predictable or expected or in which there will be little national medical interest. Developing an answerable research question and specifying clear aims should begin with a literature review and by speaking with your mentor and other experts in the field. A review of the literature (meta-analysis) or review of patients’ charts may be the source of data used for a thesis. However, the basic requirements still apply. There must be an important research question with specific aims that can be illuminated by the student’s work.

**b. Literature Review, Protocol Formulation, and Application for Funding**

When the area of investigation has been agreed on by the faculty preceptor the student is expected to explore the literature and formulate a protocol. This step provides an unusual opportunity for tutorial instruction in experimental design. Faculty members who assume responsibility as preceptors should provide the amount of guidance that is necessary in design of the investigation. The final protocol and application for funding should then be reviewed and approved by the faculty advisor and then by the Department Thesis Chairperson.

**c. Statistical Analysis**

When the results of an investigation lend themselves to statistical analysis, the student should be encouraged to seek the aid of a biostatistician for assistance in statistical methodology. It is hoped that this will enable the student to learn the value and limitations of statistical analysis as an aid to interpreting the results of an investigation. See the list of Secondary Thesis Advisors for clinical epidemiology and clinical research methods. See also page 18, Computer facilities for statistical analysis.

**d. Research Involving Human Subjects**

All research involving human subjects must be approved by the Human Research Protection Program formally known as Human Investigation Committee of the School of Medicine. Applications and guidelines are available online at the Human Investigation website at http://www.yale.edu/hrpp/

**e. Joint Projects Not Acceptable**

Dissertations written jointly by two or more students are not acceptable. This does not mean that they may not work on related problems, but each student should have the experience of carrying out
an investigation from beginning to end on his/her own initiative.

f. Research Done Outside of the Yale Medical School

Research at another institution

Research at another institution is discouraged in the summer of the first year. The reasons for this are as follows:

(1) Starting a project that has a likelihood of being used for the thesis with a Yale faculty mentor is important. If you are working at Yale, you have ready access to the laboratory or the study population and the mentor with whom you are working.

(2) Funding in the summer of the first year comes predominantly from training grants and Yale funds. Some of these require that the work is to be done at Yale.

(3) Working at Yale ensures that the student will receive excellent training in conducting research since the Yale faculty member knows the Yale M.D. thesis system and the responsibilities of the mentor.

For these reasons students are advised to begin their work at Yale with a Yale advisor in the summer of the first year.

If you decide to do research at another institution (non-Yale site for example, Harvard, Columbia, Stanford, etc.) you are responsible for obtaining ½ (50%) of your funding from the other institution. You should be certain to have a Yale sponsor before you complete the arrangements at the other school because this Yale faculty sponsor will need to approve your application for 50% funding and will give advice if this work is ultimately used for your thesis and will have the thesis reviewed in his or her department at Yale.

The above does not apply for research done after the first year. After the summer of the first year, e.g., in the 3rd, 4th or 5th year the Office of Student Research will provide full stipend support for thesis research. It is still required to have a Yale faculty sponsor who approves the project. The thesis will be reviewed by this faculty member’s Department Thesis Chair.

Concerning your responsibility for funding if work is done at another institution in the summer after the first year:

Obtaining funding from another institution:

If the investigator at another institution is well funded, they will readily fund half or all of your application. If they are not willing to do so you should reconsider. The Office of Student Research is willing to make one or two phone calls to the P.I. at the outside institution to encourage them to provide full or partial funding. Students should recognize that it is greatly preferable to begin the work at Yale in the summer of the first year.

Research at an outside institution done after the first year:

Students performing research at another institution other than the summer of the first year (3rd, 4th, or 5th year) can receive full stipend funding from the Office of Student Research if the following
requirements are met:

(1) The application for funding for full-time research is approved a Yale faculty sponsor who will be responsible for reviewing the thesis and submitting the work in their department.

(2) This applies to both short-term funding and one-year medical student research fellowships, which also can be done at other institutions for some pullout fifth year programs (Sarnoff, Yale Sponsored).

Considering Research Outside of Yale Medical School:

A student may wish to undertake his/her research project under the supervision of a qualified full-time investigator who is not a member of a department of Yale School of Medicine. **The approval of an outside preceptor will be granted by the Office of Student Research upon receipt of a statement by a full time Yale faculty member acting as sponsor and mentor, in the area the research work will be done.** The statement should indicate the Yale mentor has approved the preceptor and the facilities available for the research project. The same regulations concerning the dates of submission and review by the appropriate departmental committee (Departmental Thesis Chair) will apply to the thesis done outside of the medical school. Specifically, the faculty member will be responsible for reviewing the progress of the thesis with the student, reviewing the written thesis and giving faculty approval. The thesis will be reviewed by the Department Thesis Committee of this faculty member’s department.

g. Ph.D. Thesis in Lieu of Medical School (M.D.) Thesis

For students enrolled in the combined M.D./Ph.D. Program, the doctoral thesis submitted to and approved by the Graduate School will serve in lieu of the thesis requirement of the Medical School. The Graduate School awards degrees twice a year, in late fall (November or December, depending on the Corporation schedule) and May. In order to guarantee consideration by the appropriate Graduate School Committee on Degrees, the dissertation must be submitted by October 1 for a fall degree, or March 15 for a May degree. **Students planning to submit the dissertation on March 15 for a May degree, however, should make every effort to file the petition by mid-February, so that planning for commencement ceremonies can proceed in a timely fashion. If the Ph.D. has not been completed, a separate M.D. thesis must be submitted to qualify for the M.D. degree.**

h. Applied Principles of Clinical Research

Several years ago, at the recommendation of students, Yale Medical School established a requirement for all students carrying out thesis work in clinical research areas, including those graduating in four years, which is participation in the Applied Principles of Clinical Research Sessions in July and August each summer. The NIH now also requires these sessions.

Students have found these sessions to be extremely useful and have encouraged their continuation. Instructors will use examples from your applications for summer research support. It is strongly recommended and required that you attend these sessions to aid you in the design and execution of your planned summer research, and your eventual thesis work. On the following four pages is the syllabus.
Summer 2019
Location: See Discussion Sections below for room locations

The purpose of this intensive two-week course is to provide an overview of the objectives, research strategies, and methods of conducting patient-oriented research. Emphasis is placed on applying concepts to students' actual research projects. Sessions are workshops that combine didactics and use students' projects to illuminate concepts. Participation is critical to the success of this seminar.

Seminar Leaders, Sections, Contact Information:
Karen Dorsey Sheares, MD, PhD
Mona Sharifi, MD, MPH
Marcella Nunez-Smith, MD, MHS
Karen Wang, MD

Associate Research Scientist, Pediatrics
Instructor, Pediatrics
Associate Professor, General Internal Medicine
Associate Research Scientist, Internal Medicine

Administrative Assistance in the Office of Student Research:
Donna Carranzo, donna.carranzo@yale.edu
Kelly Jo Carlson, kellyjo.carlson@yale.edu
Reagin Carney, reagin.carney@yale.edu

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<th>INSTRUCTOR</th>
<th>DISCUSSION SECTIONS</th>
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<tr>
<td><strong>Marcella Nunez Smith, MD, MHS</strong></td>
<td>7/22, 7/23, 7/24, 7/25* and 7/29, 7/30, 7/31, 8/1</td>
<td>203-785-6454 <a href="mailto:marcella.nunez-smith@yale.edu">marcella.nunez-smith@yale.edu</a></td>
</tr>
<tr>
<td>Associate Professor, Internal Medicine</td>
<td>11:00 am – 12:30 pm (except as noted * 10:00am – 11:30 am)</td>
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<tr>
<td></td>
<td>Harkness Mezzanine, 367 Cedar Street (above Dining Hall)</td>
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<tr>
<td><strong>Karen Wang, MD</strong></td>
<td>7/18, 7/19, 7/25, 7/26, 7/29-8/1</td>
<td>203- 737-3573 <a href="mailto:karen.wang@yale.edu">karen.wang@yale.edu</a></td>
</tr>
<tr>
<td>Instructor, General Internal Medicine</td>
<td>11:00 am – 12:30 pm</td>
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<td>Hope 314</td>
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<tr>
<td>Karen Dorsey Sheares, MD, PhD</td>
<td>7/12, 7/15, 7/16, 7/17, 7/18, 7/22, 7/23, 7/24</td>
<td>203-737-2182</td>
</tr>
<tr>
<td>Mona Sharifi, MD, MPH</td>
<td>11:30 am – 1:00 pm</td>
<td>203-785-7821</td>
</tr>
<tr>
<td>Associate Research Scientist in Pediatrics (General Pediatrics), and Assistant Professor of Pediatrics</td>
<td>Hope 315</td>
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**Office Hours:**
To make an appointment, please send an e-mail with the issue that you would like to discuss and the times that you are available within a 1-2 week period; We will schedule a meeting as quickly as possible. If you have any questions or need additional information, please do not hesitate to contact us.

**Readings (before class):**
Readings include articles and text chapters. The readings provide a broad overview of topics for students with diverse interests. Materials will be available in class and posted on Blackboard.

**Recommended texts:**
Stephen Hulley, Designing Clinical Research Lange,
Basic Clinical Biostatistics

**Attendance:**
Students are expected to attend all tutorials in their own section.

If you are unable to attend any section during a given week you can complete a make-up assignment. This can only be done once during the summer session.

In order to receive credit for the course students must attend or receive credit for at least 6/8 sessions.
**Readings (before class):**
Readings include articles and text chapters. The readings provide a broad overview of topics for students with diverse interests. Materials will be available in class and posted on Blackboard.

**Recommended texts:**
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Students are expected to attend all tutorials in their own section.

If you are unable to attend any section during a given week you can complete a make-up assignment. This can only be done once during the summer session.

In order to receive credit for the course students must attend or receive credit for at least 6/8 sessions.

**Learning Objectives**
The overall objectives of these assignments are to:
- Understand the fundamental principles of research architecture and design in the medical literature
- Assess critically the scientific literature as presented in professional journals and the popular media
- Construct research hypotheses and study designs to test these hypotheses

**Course requirements / Grading**
Course requirements are designed to focus on the development of skills and a knowledge base that should be useful as your research project develops – and beyond.

1. **Class Participation (40%)**
   All students are expected to attend and participate in class discussion. The discussion groups provide a great opportunity to develop intellectual relationships with your peers, the Seminar Leaders, and to move your projects forward with help from the group in real time.

2. **Daily Exercises (in class) (30%)**
   This course is a brief introduction to some of the fundamental principles of patient-oriented research. To deepen discussion and understanding of course topics, you will be asked to apply the concepts from the readings and didactics to your own research projects. This process is not to be a burden or to interfere with the reading itself. The goal is to promote critical thinking, and to help students abstract a few key points from a group of readings. The ideas generated will guide our class discussion. These will be graded on quality, not the amount written.

3. **Project proposal or abstract (not to exceed 5 pages) (30%)**
   A completed project proposal will be the final assignment. It should include specific aims, hypotheses if appropriate, background, methods, significance, limitations, and human subjects sections. As with all assignments, be sure to include appropriate scientific citations. Details
for this assignment will be discussed during class. Proposals due one week after the last class.

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<td></td>
<td>Supplementary reading: Reynolds, DeLong</td>
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4. DEVELOPING A LABORATORY BASED RESEARCH PROJECT
There are many outstanding laboratory-based research opportunities at YSM and outstanding faculty to serve as research thesis advisors. Students should begin by reviewing the Directory of Faculty Research Interests, (see page 4). They should select 4-5 faculty, make appointments with them, hear about their current research, and discuss possible laboratory-based research projects. Next, before making a decision, students should attend their lab meetings and meet separately with lab members over coffee. Medical students should use the NIH RePORTER at http://projectreporter.nih.gov/reporter.cfm, which lists all NIH grants received by faculty members to determine the lab’s funding. Reading the evaluations of former students who have worked in that lab and speaking with current or former students are very helpful in making a decision. Finally, they should then meet again with the chosen faculty member to develop a proposal for their laboratory research project.

5. DEVELOPING A CLINICAL RESEARCH PROJECT
Similarly, there are many outstanding clinical research opportunities offered by faculty in clinical departments at YSM. Students should begin by reviewing the Directory of Faculty Research Interests, (see page 4). They should select 4-5 faculty, then make appointments with them, hear about their current research, and discuss possible clinical research projects. Before making a decision, students should attend their research meetings and meet for coffee separately with research group member chosen by the student to get insight on what it is like to work in that group. Medical students should use the NIH RePORTER at http://projectreporter.nih.gov/reporter.cfm that lists all NIH grants received by faculty members to determine the mentor’s funding. It is highly recommended that students work with a faculty mentor who has grants. Reading the evaluations of former students who have worked with that mentor and speaking with current or former students are very helpful in making a decision. Finally, they should then meet again with the chosen faculty member to develop a proposal for their clinical research project.

6. DEVELOPING A MIXED METHODS RESEARCH PROJECT, OR A N EDUCATIONAL RESEARCH PROJECT
Research in these areas encompasses a wide array of study types and methodologies. Detailing the many research study designs employed by clinical researchers is beyond the scope of this thesis guide. Whatever your research interest, you can likely find a qualified advisor in the medical school. But an advisor knowledgeable in your area may or may not have a strong background in research methods. If they do not, you should be prepared to follow a systematic process in the development of your project to be sure the results are what you intend.

Be advised that data alone do not make a thesis. Without a well-developed research question with clear and specific aims, collected data cannot lead to meaningful insights.

a. Quantitative Clinical and Education Research (research that involves comparisons):
The following sequence is recommended for the development of a clinical research, epidemiology, or educational research thesis project that involves comparisons. Don’t wait for your advisor to bring up each component; they may never do so. You should be assertive in addressing the following items before you begin the actual research. If you and your advisor are uncertain about or want help with any of the steps below, there are experts in methodology available for consultation (See section on Secondary Thesis Advisors). Be sure to obtain such a consult early. There is little that consultants can offer once the data have been collected.

1. Start with an excellent question. In general, “excellent” is best defined as a question for which the answer matters either to other researchers in the field, practicing clinicians, or patients. Remember, you will likely do just as much work to answer a question which has “below average” interest to others as you will in answering a question which others will call important.
2. Convert the question to a hypothesis by asserting a position. This will lead directly to a consideration of measures, both of exposure and outcome.

3. Generate measures of exposure and outcome. This step is facilitated by a review of the pertinent literature. How have other researchers defined/measured the exposure and/or outcome? The effort to generate meaningful measures will generally require a return to the hypothesis for refinement, and narrowing (i.e., express the hypothesis in terms of the specific exposure of interest, and the specific outcome anticipated).

4. Next, a protocol should be constructed. How can the specific aims be accomplished? The first requirement is that a comparison be made. Here, too, a search of the literature for methods will be helpful. Choosing the right control group is challenging, and subtle. Once the comparison group is chosen, the magnitude of expected difference should be estimated, as a basis for determining sample size (power calculation). In educational research, the intervention cannot be provided only to one group. In clinical research, standard methods include cohort studies (prospective, or retrospective; the randomized controlled clinical trial is a sub-category of the prospective cohort study) that assemble groups on the basis of exposure/intervention, and follow for outcome; and case-control studies that assemble groups on the basis of the outcome and assess for previous exposures. We urge you to use one of the three.

5. In light of the design you deem most appropriate, revise your measures of exposure and outcome as required. For example, in a prospective study, you can choose how to measure factors of interest, whereas in a retrospective study you will need to rely on measures obtained in the past, or the subjects’ recall.

6. Once your measures are established, determine the appropriate sample size and the methods of analysis. A plan for data collection and management should also be developed. Consultation with a statistician may be helpful at this stage. Now is the time to assess feasibility. Specifically, the following questions should be addressed: Can enough people be obtained for the study? Can the outcome events be observed and suitably analyzed?

The research should only begin after steps 1-6 are dealt with successfully. Again, there are no methods or statistics that can transform a vague question and data into a methodologically rigorous study after the fact. Sound research design must come first.

b. What is educational research?

Research in medical education is a disciplined effort to ask questions through the collection and analysis of primary data, for the purpose of description, explanation, generalization, and/or prediction. Educational research is fundamentally a question-solving activity that addresses a problem and collects data to test a hypothesis or explain a phenomenon. Educational research commonly uses qualitative or quantitative methodologies (used together referred to as ‘mixed methods’). Characteristics of educational research include:

- Educational research attempts to answer an important problem of significance to other scholars in the area and should be planned to result in a publication in a high-quality peer-reviewed journal. Medical students considering educational research should address an excellent question defined as follows: An excellent question is one that 1) other medical scholars in this area will find very interesting, 2) uses the best possible methods, and 3) if successful will be published in a high-quality peer-reviewed journal.
- Educational research involves gathering new data from primary or first-hand sources or using existing data for a new purpose.
- Educational research is based upon observable experience or empirical evidence and demands accurate observation and description.
• Educational research is a deliberate activity which is directional but often refines the problem or questions as the research progresses.
• Educational research should meet the highest standards of scholarship in this discipline and requires the faculty mentor advising the student to have the requisite training, expertise, competency in methodology, and technical skill in collecting and analyzing the data and publishing the results.

Educational research is not the development or implementation of a new module or course for medical students. This is the creation of a product, such as a painting or a book and creation of a product per se, while highly valuable, has never been considered research scholarship acceptable for the Yale M.D. thesis. To compare two ways of teaching a subject by defined outcomes, however, is considered research, if important variables, such as comparisons, statistics, and power calculations are used.

We encourage you to contact the Yale Teaching and Learning Center (janet.hafler@yale.edu). Faculty within this center are available to help identify the kinds of guidance best suited to your aims.

c. Non-quantitative Research (research that does not involve comparisons):
   There are clinical research designs that do not involve comparisons such as case studies series and qualitative research. Adherence to rigorous standards and accepted techniques in the pursuit of these types of studies ensures a high-quality product.

d. Developing a Qualitative or Mixed Methods Research Proposal

Qualitative Research is an inter-and trans-disciplinary field whose researchers are committed to a naturalistic perspective and to an interpretive understanding of human experience. How social experience is created and given meaning is at the heart of qualitative research. Quantitative Research, simply stated, emphasizes the measurement and analysis of causal relationships between variables (Denzin & Lincoln, p. 4)

Qualitative methods can provide insight regarding complex phenomena, contextualize quantitative data, or inform the design of research surveys. Qualitative and quantitative methodologies are commonly used together (referred to as ‘mixed methods’) in order to enrich the understanding of multifaceted data. Each can be used to test the findings of and add valuable insights to the other.

Before embarking on your project, it is very important to be certain that qualitative or mixed methods is the right approach for your study. Note the substantial time investment required on the part of the principal investigator to design, execute, analyze, and disseminate a well-done qualitative or mixed methods study. Be sure you can justify using these methods in your study proposal and meet with a faculty member experienced with qualitative research to help determine if this approach is appropriate for your research question. The following sequence is recommended for the development of a qualitative or mixed method thesis project. You should address these steps before undertaking any actual research. You must submit your qualitative and mixed methods research proposals to the Human Investigations Committee for review.

Begin with an excellent research question with clear objectives. As in all types of research, an excellent question is one that 1) other medical scholars in this area will find very interesting, 2) uses the best possible methods, and 3) if successful will be published in a high-quality peer-reviewed journal. A research objective that can benefit from qualitative methods will typically be concerned with understanding social or psychological phenomena from the perspective of the study participants, understanding the socio-cultural or institutional context in which the phenomena occur, and understanding how the phenomena change over time or within different contexts. It is important to note that qualitative research does not seek to generate generalizations across populations, instead it generates analytic theories and categories. Therefore, qualitative research is inductive rather than deductive and your research objective may or may not seek to test a hypothesis.
1. The process of developing a novel research question should include your systematic review of the literature in order to determine the unique contributions of your proposed project. The literature review must be exhaustive and must demonstrate that either the literature is silent on the topic of interest or that prior quantitative and/or qualitative work has not adequately addressed the knowledge gap you have identified.

2. Identify the appropriate research framework to address your question. The research framework may include (here is a suggestion) the five research methods that will be discussed here are action research, case study research, ethnography, grounded theory, and Phenomenology, all of them considered examples of qualitative research.

Action Research
There are many definitions of action research, however the one most widely cited is that of Rapoport, who defines action research in the following way: Action research aims to contribute both to the practical concerns of people in an immediate problematic situation and to the goals of social science by joint collaboration within a mutually acceptable ethical framework (Rapoport, 1970, p. 499). His definition draws attention to the collaborative aspect of action research and to possible ethical dilemmas that arise from its use. It is iterative in nature.

Case Study Research
Case study research is the most common qualitative method used in medical education. Although there are numerous definitions, Yin (1994) defines the scope of a case study as follows: “A case study is an empirical inquiry that: investigates a contemporary phenomenon within its real —life context, especially when the boundaries between phenomenon and context are not clearly evident” (Yin 1994, p. 13). One may use this method, for example, to study a question about an institution.

Ethnographic Research
Ethnographic research comes from the discipline of social and cultural anthropology where an ethnographer is required to spend a significant amount of time in the field. Ethnographers immerse themselves in the lives of the people they study (Lewis 1985, p. 380) and seek to place the phenomena studied in their social and cultural context. The classic book in medical education is Boys in White (Becker, HS, 1976). An outstanding award-winning thesis at YMS described the personal life and pathos of a patient with “elephant man” disease or neurofibromatosis.

Grounded Theory
Grounded theory is a research method that seeks to develop theory that is grounded in data systematically gathered and analyzed. According to Martin and Turner (1986), grounded theory is “an inductive theory discovery methodology that allows the researcher to develop a theoretical account of the general features of a topic while simultaneously grounding the account in empirical observations or data.” The major difference between grounded theory and other methods is its specific approach to theory development, i.e., grounded theory suggests that there should be a continuous interplay between data collection and analysis.

Phenomenology
Phenomenology explores a specific social concept (e.g., shame, community) from the perspective of a few individuals in order to generate theories about the concept within specified contexts. In-depth data are gathered in narrative form from participants in order to explicate how they interpret and create meaning from these experiences.

3. Next you will identify your sample. If you are using mixed methods, you may be starting with quantitative data and analyzing it in order to narrow down your sample for in-depth qualitative study or you may start with a qualitative research design in order to design a quantitative data collection tool. In any case, sampling in qualitative research is important and can range from a
case study to a random sample within a population. It is frequently purposive or theoretical. At times participants are selected for their experiences with the social and psychological phenomena under investigation and their ability to yield rich information. Sampling size will be determined by the research aims and strategy and can range from a few participants to many and from singular to multiple contexts. Many times, data collection and analysis go hand-in-hand in qualitative research, thus the sampling strategy may not be completely determined at the onset of the project while other times the sampling is determined at the outset of the data collection. In some designs, the sample size might evolve with the course of the research and will be determined when theoretical saturation is reached, that is until increasing sample size no longer yields new information, while in other designs this is not built into the design.

4. Once your objective is formulated, the research aims clarified, the strategy selected, and sample identified, a plan for data collection must be developed. This may include in-depth interviews, focus groups, participant observation, textual analysis (e.g., asking participants to keep food, exercise, or medical diaries) or a combination of these approaches. In-depth interviews are a commonly used strategy in qualitative and mixed methods approaches in clinical and health services research as well as observational and document analysis strategies. How do you determine whether an interview (focus group v. individual), an observation, document analysis or a survey is most appropriate? The decision depends on the research question. Data are typically recorded, transcribed, and verified prior to beginning analysis. Here are 3 examples:

**In-depth Interviews:** Participants’ experiences are explored in detail in typically one-on-one guided conversations. Interview guides may be used to direct the overall structure of the interview, but participants are allowed to direct the course of the discussion in order to obtain information-rich data.

**Focus Groups:** are group interviews around a single topic of interest. They rely on interactions among group members to generate broader insights into shared experiences. How opinions are expressed or modified through group discussion is usually as important to the study as the topic itself.

**Participant observation:** is used in ethnographic research where the researcher observes or participates to a limited degree with the research subjects within their socio-cultural or institutional contexts.

5. Lastly, you will determine the appropriate method for analysis. You may need to assemble a diverse team of collaborators to help with data coding. You will need to identify at least one team member with qualitative expertise and one with content expertise. The team and coding plan needs to be determined a priori. This includes agreeing to a coding schedule and meeting times, choosing which, if any, qualitative software packages you will use to aid with data organization and management, consulting with available campus resources.

**All of the above steps need to be addressed before undertaking your project.** Working carefully through these considerations will enable you to identify whether or not a qualitative or mixed methods approach is appropriate and/or feasible. Will you have access to the data or participants you need? Is there sufficient time to collect and analyze data? Remember, qualitative research does not seek to determine prevalence, frequency, or magnitude. You will need time with your study participants and flexibility in order to obtain the in-depth and complex data you seek as well as time to conduct a rigorous analysis to yield meaningful scientific contribution.

The following resources may be helpful to you as you design a qualitative resource project:

1) Curry LA, Nembhard IM, Bradley EH. *Qualitative and Mixed Methods Provide Unique Contributions to Outcomes Research.* Circulation 2009;119;1442-1452

2) [http://www.qualres.org/](http://www.qualres.org/)
The Yale postdoctoral fellows and faculty members listed below all have expertise in qualitative and mixed methods. These qualitative methodologists have agreed to work with a maximum of two students per year as a Consultant on initial research study design or as a secondary thesis advisor. The methodologist should become involved on the student thesis at an early date (preferably in the first few weeks of the project and prior to any data collection). As a Consultant, the methodologist agrees to meet with students for one session prior to beginning the thesis project and one additional session after the data have been gathered. As an Advisor, the methodologist agrees to meet with students for up to four sessions prior to beginning the thesis project and for up to four additional sessions after the data have been gathered. The initial meeting should be attended by the medical student, the primary thesis advisor, and the qualitative methodologist. The focus of this meeting will be on methodology.

Jennifer.edelman@yale.edu Internal Medicine
Marcella.Nunez-Smith@yale.edu Internal Medicine
Nancy.kim@yale.edu Internal Medicine
Janet.Hafler@yale.edu Pediatrics
David.Berg@yale.edu Psychiatry
Sakena.Abedin@yale.edu Pediatrics
Marjorie.Rosenthal@yale.edu Pediatrics

The following resources may be of help to you in developing or completing your thesis research:

e. Secondary Thesis Advisors
The Yale faculty members listed below all have expertise in the methodology of clinical studies, clinical epidemiology and biostatistics. These faculty members have agreed to work with a maximum of two students per year as a secondary thesis advisor. A secondary faculty advisor should become involved on the student’s thesis at an early date (preferably in the first few weeks of the project and before any data are collected). The advisors have agreed to meet with students for four sessions at the beginning of the thesis project and an additional four sessions after the data have been gathered. The initial meeting should be attended by both the medical student, the primary thesis advisor and the secondary faculty advisor. The focus will be on developing excellent methodology. Please see the Directory of Faculty Research Interests for more detailed information on the faculty advisors’ specific areas of interest.

<table>
<thead>
<tr>
<th>NAME</th>
<th>DEPARTMENT (email)</th>
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<tbody>
<tr>
<td>Karen Dorsey Sheares</td>
<td>Pediatrics, <a href="mailto:karen.dorsey@yale.edu">karen.dorsey@yale.edu</a></td>
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<td>Tom Gill</td>
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<td>David Katz</td>
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<td>Nancy Kim</td>
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<tr>
<td>Patrick G. O’Connor</td>
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<td>Eugene Shapiro</td>
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<tr>
<td>Richard Schottenfeld</td>
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</tr>
<tr>
<td>Mary Tinetti</td>
<td>Geriatrics, <a href="mailto:mary.tinetti@yale.edu">mary.tinetti@yale.edu</a></td>
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Computer resources and facilities for statistical analysis that may be of help to you:

Yale's Prevention Research Center (PRC), located off-campus in Derby, is a resource to students needing assistance with data management and/or statistical analysis, survey development and validation, as well as refinement of clinical study methodology. The PRC data management staff will work with students to perform statistical analysis using a variety of software packages. Referrals can be provided as needed to additional resources available through the computer labs at the Yale Schools of Public Health, and Management. Contact the PRC at 203-732-1265, or Dr. Valentine Njike, Data Manager, at valentine.njike@yalegriffinprc.org.

7. RESEARCH IN HEALTH DISPARITIES

The following is a PARTIAL list of faculty with an interest in research in Health Care Disparities. There are many other Faculty members in various departments not listed.

1. Jeannette Ickovics: School of Public Health
2. Steven L. Bernstein: Emergency Medicine and of Health Policy
3. Miriam E. Delphin: Psychiatry
5. Doruk E. Ozgediz: Surgery (Pediatric) and Pediatrics
6. Rafael Perez-Escamilla: Epidemiology
7. Basmah Safdar: Emergency Medicine
8. Carolyn E. Sartor: Psychiatry
9. Megan V. Smith: Psychiatry in Child Study Center and Epidemiology
10. Francis P. Wilson: Internal Medicine (Nephrology)
11. Chima Ndumele: Public Health (Health Policy)

Below are courses offered by the School of Public Health that can be viewed at: https://students.yale.edu/oci/search.jsp

CDE545 Health Disparities by Race and Social Class: Application to Chronic Diseases: Lecturer, Dr. Beth Jones, Spring 2020, LEPH 105 Wednesdays, 1:00 pm to 2:50 pm

HPM542 Health of Women and Children: Lecturer, Dr. Mary Alice Lee, Spring 2020, LEPH 101, Wednesdays, 3:00 pm – 4:50pm

a. RESEARCH IN LGBT (Lesbian, Gay, Bisexual, Transgender) AREAS

A number of scholars in LGBT Studies, queer theory, and sexuality studies have earned their M.D.’s and Ph.D.’s at Yale, including a medical school student doing research in The Child Study Center on “Transgender youth: evolving treatment and ethical considerations.” This thesis was selected for oral presentation at Student Research Day in May 2016 and won a Thesis Prize at graduation.

Many Yale departments welcome students interested in LGBT studies and queer theory, with the greatest concentrations at present in American Studies. We remind interested Yale medical students that the research should be medically related, (or on a medical ethics topic) and a full time Yale Medical School (YMS) faculty advisor and department in the YSM is needed.

8. GLOBAL (INTERNATIONAL) HEALTH RESEARCH

The timeline is much earlier for those students planning a mentor and project in global health. Because the deadline for the draft Downs funding application is January 27, 2020, students
interested in global health should start their search much earlier.

The timeline for global health projects is below:

**YMS I Summer Research Timeline**
For those planning a Global Health Research Project for submission of Downs application 2019-2020

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<th>Nov</th>
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<td>January 27, 2020 by 8 am, Draft Downs Application Due</td>
<td>April 17, 2020 application due to Dept. Thesis Chair for review and signature</td>
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<td>March 1, 2020 by 1 pm, Final Downs Application Due</td>
<td>May 1, 2020 application due to OSR for review and approval</td>
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<td>November, December, meet with 4-5 faculty to discuss possible sites and projects. Decide by late November to make Downs Application</td>
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<td>April 6, 2020 Developing a Study Protocol</td>
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There is a growing interest in global or international health research questions. There is increasing recognition that medicine and health transcend geographic boundaries. “Global or International Health” research refers to “field-based” clinical and epidemiological research sponsored by U.S institutions and taking place outside of U.S, typically in resource-limited settings. If you are considering conducting international health research, consider applying for a Downs Fellowship. The Downs Fellowship Website is: [http://publichealth.yale.edu/downs/index.aspx](http://publichealth.yale.edu/downs/index.aspx)

The following are unique challenges of International Research:

1. Language /cultural competency
2. Dual IRB approval (home institution as well as host institution)
3. Yale full time faculty with active international research needed as mentor
4. You will need a local on-site mentor as well.
5. Less access to library and information resources
6. Societal/infrastructure constraints {e.g., transportation, electricity, computer resources, political instability, etc.}
7. Personal safety
8. Your research project should be relevant and feasible (in addition to scientific validity)
9. **START EARLY FINDING A MENTOR AND PROJECT!**

Remember: **THE DEADLINE FOR DOWNS APPLICATIONS DRAFT PROPOSAL is January 27, 2020 by 8 am.** For more information, contact: Leonard Munstermann, PhD, Yale School of Public Health.
Some students elect to conduct medical research for their M.D. thesis in one or another area of the humanities, social sciences, education, and the arts (including medical history, medical ethics, medicine and the law, film, photography, medical sociology, medical anthropology, and literature). Like other kinds of student research, the creative discipline required in pursuing the M.D. thesis in these areas helps shape the physician-scholar by cultivating critical judgment, imagination, and scholarship, while developing critical research skills and making an original contribution. At the same time, the challenges involved in research pursued in the archive, library, or field may differ from those encountered in the laboratory.

The Office of Student Research recognizes that distinct methodologies may be required for research conducted at the cutting edge of the humanities, social sciences, education, and the arts. It is committed to fostering an environment in which students are supported and encouraged to produce work of the highest quality and rigor that is in keeping with the best standards of scholarly research within the discipline in which they are working. Students who wish to pursue medical research projects in the humanities, social sciences, education, and the arts are eligible to apply for competitive research stipend support. Support from the Office of Student Research is given for student research stipends only, not for the costs of the research itself which is the responsibility of the faculty advisor.

Given the diverse nature of the fields encompassed by the medical humanities, education, and the arts, it is not possible to delineate a single method or approach that suits all projects. Students should work closely with their advisors to gain an understanding of the research methods and forms of analysis best suited to the discipline in which they are pursuing their thesis, or to develop approaches appropriate to interdisciplinary work. All research must attempt to answer a focused question related to medicine that is of interest to other scholars in the field. Research originates with a question, or hypothesis or problem, and requires a clear articulation of a goal and a systematic plan of approach. A starting point is to review critically the research literature on the given question and related areas.

It is important to recognize two ways in which rigorous research in the humanities, education, and the arts may differ from exploration in the laboratory and clinical sciences. First, such investigations may be (though by no means always) qualitative, and may not be advanced by statistical analysis. In other cases, studies would be significantly improved by a combination of qualitative and quantitative research methods. Second, while research in these fields must answer an important question related to medicine, the question may not be formulated as a testable hypothesis. Alternative ways of thinking about the aims of a strong research project, informed by the best-practice research norms of different disciplines, are the construction of an evidence-based “argument,” or the production of generalizable knowledge, or (for the arts) the inclusion in the written thesis of material that is thoughtful, important, and makes an innovative contribution.

Medical students whose interests have led them to a set of questions about health and culture, medicine and society, may not know which scholarly fields offer the most promising research and analytical tools. If you are interested in a project that would make an innovative contribution to understanding the relationships between poverty and health in New Haven, for example, you might find yourself asking questions about culture that various interpretive methods from the humanities, social sciences, and the arts can help address. Perhaps you want to understand what health and illness mean to HIV-positive mothers and how they make sense of the relationship between health and poverty in managing the lives of their children. Or perhaps you want to understand how information about serving as a paid clinical research subject circulates in the community, and how the role of the healthy research “volunteer” is perceived. Or possibly your interest is in childhood obesity and you decide to explore sports, body image, and cultural esteem among 13-year-old girls in an intercity school, or you decide to enlist photography as one medium to explore the nutritional environment of childhood poverty. All of these projects use methods regularly used by scholars in the social sciences, humanities,
and the arts. If your research interests involve such questions about health, culture, education, and society, then we encourage you to begin a careful review of the scholarly literature on the topic. This is a critical first step. We also encourage you to contact the Yale Medical Humanities and the Arts Council (email john.warner@yale.edu) or the Yale Teaching and Learning Center (janet.hafler@yale.edu). Faculty within these units are available to help identify the kinds of guidance best suited to your aims.

Medical students may work with any approved Yale University faculty member on their thesis so long as the work is supervised, sponsored and approved by a full-time School of Medicine faculty member in whose department the thesis will be reviewed, and the Office of Student Research. Dual mentorship often is particularly appropriate to medical research in the humanities and the arts, and enables students to draw upon the rich mentorship resources available elsewhere in the University. The Humanities and the Arts Council can help students identify prospective medical school mentors, and can help identify co-mentors from across the University whose primary faculty appointments are outside the School of Medicine. Can a student who is a writer or artist submit just a portfolio of work, or a collection of essays, stories, or poems as a thesis? **No.** A thesis in the humanities is still a thesis. In other words, it must have some hypothesis-driven research question. That said, a student CAN create some sort of artistic project as long as there is an associated research question and research project that goes with it.

**10. ONE YEAR MEDICAL STUDENT RESEARCH FELLOWSHIPS**

The School encourages many students to consider a fifth year of medical school and devote it exclusively to research funded by a formal One Year Student Research Fellowship. This Student Research Fellowship Program is facilitated by charging no tuition for the extra year and by the provision of a limited number of stipends that can be paid to students. In 2018—2019, twenty-two (22) students received full one year fellowships and an additional thirty (30) fifth year students received funding for less than a full year. Stipend levels are approximately $24,816 per year. These stipends are available on a competitive basis and students are eligible to apply after the second year. See separate booklet with details and deadlines on these fellowships. Current available funding sources include: YCCI Multidisciplinary Pre-Doctoral Training Program, Sarnoff Foundation, National Institutes of Health, American Heart Association, and Yale sponsored funding.

All stipends are paid directly to the student and are considered taxable income.

**11. JOINT DEGREE PROGRAMS**

a. Joint M.D./Master of Health Science Degree Program

Yale School of Medicine and the Office of Student Research have established a new joint degree, the MD-Master of Health Science (MD-MHS) which was approved by the Yale Corporation in January 2006. There are currently 17 Yale medical students in this program.

There are two pathways in the MD-Master of Health Science degree for medical students. These are a clinical research pathway and a laboratory/translational pathway. The clinical research pathway also includes medical research projects in the broad areas of medicine and the humanities (medical history, medical-legal, bioethics, etc). The MD-Master of Health Science degree requires a fifth year pull out supported by a fully funded one year medical student research fellowship at Yale (currently Yale NIH CTSA, NIH-NIDDK, Yale Endowment Fellowships).

The research project in the fifth year is the centerpiece of the M.D.-Master of Health Science degree program. In addition are the following requirements:

The project mentor and a two or more-person thesis committee must be approved by the
Office of Student Research and the Master of Health Science Advisory Committee.

Additional coursework is required:

**Clinical research pathway** – Courses: IMED 625 Principles of Clinical Research; IMED 645 Introduction to Biostatistics in Clinical Investigation; Sect Ed 102 Organization and Leadership; Sect Ed 501b Responsible Conduct of Research (during Masters year)

**Laboratory/translational research pathway** – Courses: Sect Ed 101 Intensive Pedagogical Experience in Techniques and Strategies for Laboratory Research or Selected Seminars in CBB 740a Clinical and Translational Informatics; Sect ED 102 Organization and Leadership; Sect Ed 501b Responsible Conduct of Research (during Masters year)

These courses can be taken prior to the Masters year or during the Masters year. The only course that can be taken after the Masters year is Sect ED 102 Organization and Leadership.

Participation in monthly seminars, journal clubs, Leadership in Biomedicine lectures and dinners, and other announced activities throughout the Masters year.

b. Joint M.D./M.P.H. Degree Program

For students in the M.D./M.P.H. Program, one thesis satisfies both degree requirements provided it is approved and carried out under a Yale faculty member of the Department of Epidemiology and Public Health and is in an appropriate subject area. The same regulations concerning content, organization and dates for submission of the M.D. thesis and review by the appropriate departmental committee will apply.

c. Joint M.D./J.D. Degree Program

The Yale School of Medicine has a formal relationship with the Law School to allow students to seek degrees from both schools. A focused M.D. thesis, answering a significant question of relevance to medicine is required for the M.D. portion of the degree.

d. Joint M.D./M.B.A. Degree Program

The purpose of the joint-degree program in medicine and management is to develop clinician-managers capable of pursuing careers that balance delivery of patient care with sound management in a changing health-care environment. The joint-degree program normally requires five years of study and simultaneous award of the degrees of Doctor of Medicine and Master of Business Administration at the conclusion of the five-year period. A focused M.D. thesis, answering a significant question of relevance to medicine is required for the M.D. portion of the degree.

e. Joint M.D./M.Div. Degree Program

Students who have been admitted to the Yale School of Medicine and are enrolled for the M.D. degree may apply to the Divinity School or admission to a combined program leading to the degrees of Doctor of Medicine and Master of Divinity. The joint program is tailored to the individual interests of students seeking professional education and training in a theological understanding of the self, society, and work; in bioethics; in international health and missions; in hospice or similar patient-care facilities; or in academic work in teaching, counseling and chaplaincy. A focused M.D. thesis, answering a significant question of relevance to medicine is required for the M.D. portion of the degree.
12. RESPONSIBILITY OF FACULTY MENTORS

To insure understanding of the faculty mentor's role, the following suggestions have been made:

• The faculty mentor should make every effort to orient the student to a feasible question that can be addressed within the available time. This usually requires multiple meetings with the student culminating in protocol and an application for funding which contains the following elements.
  o background of the problem being investigated
  o hypothesis that will be examined (if appropriate)
  o specific aims of the study
  o methods that will be used including details of the specific design of the study
  o selected references from the sponsor’s work
  o selected references from others

• The faculty mentor must review, approve, and sign the application for funding. The application is also reviewed by the Department Thesis Chair.

• We recommend that the actual time devoted to data collection (clinical, laboratory or other) be accomplished in a twelve-to-sixteen-week period, minimally. Additional time is needed for planning and literature review, for evaluation of data and final write-up. Currently 70% of Yale students elect to spend a fifth year of medical school devoted fully or partially to thesis research. (See separate “One Year Medical Student Research Fellowship Information” available in a pdf copy online.)

• The student should not be assigned as a research technician to accomplish someone's project in the lab, including fellows.

• The faculty mentor should invest sufficient time in the student, including weekly meetings to discuss results and where necessary, help to focus (or refocus) the direction of the project.

• The student should develop with the faculty mentor his or her own project (although others may participate) and should eventually be encouraged by the faculty mentor to be first author on abstracts and publications.

• The faculty mentor is responsible for all research expenses (i.e. space, resources, and facilities) and the supervision of the student’s work.

• The faculty mentor is the first reviewer and gives the initial approval of the thesis as submitted for graduation. (For more information see "Thesis Approval Process")

• The faculty mentor should plan to attend Student Research Day activities held in May of each year.
13. FUNDING FOR STUDENT RESEARCH

The Office of Student Research provides three types of stipend support for student investigators. These are:

a. Summer Research

b. Short-term Research

c. One-year Medical Student Research Fellowships

All programs require a competitive application signed by the student. Summer research stipends are awarded specifically to students between the first and second year. Short-term stipends are awarded for specific blocks (1-3 months) during the academic year when full-time research is performed and during subsequent summers. These stipends are supported by a variety of organizations (NIH, Howard Hughes Program, private donors, and University funds).

Deadlines for one year medical student research fellowships are available in the Office of Student Research and on our website. Deadlines for short term and summer research are listed below and also available on our website.

a. Summer Research Deadlines
   April 17, 2020 - Department Thesis Chair
   May 1, 2020 - Office of Student Research

b. Short-term Research Deadlines (2019-2020)
   August 2, 2019 – Office of Student Research (Research Sep; Oct; Nov.)
   November 1, 2019 – Office of Student Research (Research Dec; Jan; Feb.)
   February 7, 2020 – Office of Student Research (Research Mar; Apr; May.)
   May 1, 2020 – Office of Student Research (Research Jun; Jul; Aug.)
14. THESIS APPROVAL PROCESS

There are three levels of review of M.D. theses as follows:

**First Level**  -  Student/Thesis Advisor

**Second Level**  -  Departmental Review  
(Departmental Thesis Committee)

**Third Level**  -  Thesis Awards Committee  
(Thesis Committee)

9. All students expecting to graduate in May of a given year, complete in the fall of the preceding year, a Thesis Information Form indicating:

- the title of his/her thesis;
- his/her advisor and department

This Thesis Information Form must be signed by the student and forwarded to the Director, Office of Student Research.

10. Students must include in the methods portion of their thesis, specific details of exactly which procedures, methods and experiments were conducted by the student and which procedures, methods and experiments, generation of data, or production of reagents, were performed by others. It is recognized that students may often be completing a portion of a larger work. A statement detailing precisely what was done by the student and what was done by others does not detract from the thesis and is necessary for academic honesty.

11. Following writing, reviewing and editing of drafts of the thesis by the student and approval by his/her thesis advisor, a formal letter from the faculty advisor must be sent to the Department Thesis Committee Chairperson indicating faculty approval of the thesis. The advisor must be a member of the full-time faculty at the School of Medicine. This letter should accompany the submission of the thesis by the student for departmental review. This letter should state that the work is original and has been done by the student.

12. All student theses should be reviewed by at least one external reviewer at the Departmental Thesis Chair level of review. An external reviewer is defined as external to the specific project and may be a member of the department or the section where the work is performed or may be a member of another department. This reviewer is strongly urged to meet in person with the student to describe her/his comments and suggestions. A written summary of the reviewer’s critique (which may be brief) should be sent to the student and to the Department Thesis Chairperson.

13. Changes recommended by the reviewer(s) are then incorporated into the final bound thesis copy. Upon completing the recommended changes, the student will be notified by the Departmental Thesis Chair of his/her approval and the student can proceed on binding the thesis and final submission to the Office of Student Research.
15. THESIS AWARDS

1) Recommendations for Honors - Elements of the third review (Awards Committee). The basis for honors should be an excellent to outstanding thesis with original observations already published in a peer-reviewed journal, or submitted for publication, or planned submission by a certain date, or judged worthy of publication in a peer-reviewed journal. This information should be included in the letter from the advisor, including details of the publication, i.e., already published, submitted, planned by a date or a thesis. One thesis per department, or 20% of the total theses done in a department may be submitted for honors only if each meets the criterion for honors.

2) Theses submitted by the Departmental Thesis Committee to the Thesis Awards Committee for honors consideration must be accompanied by the following:

a) a letter from the faculty advisor recommending the thesis for honors, indicating why it is recommended and stating specifically all methods and data generated by the student and all methods and data generated by others. The letter should indicate any publications resulting from the work or in preparation. To be considered for honors, the faculty advisor must indicate that in his or her opinion, the work of the student is definitely considered to be worthy of publication in a peer-reviewed journal and details of this publication should be given as above.

b) a letter from the Department Thesis Committee Chairperson indicating the reasons for recommending for honors. Comments or a letter from the external reviewer may also be included.

Note: If more than one thesis is submitted by a Department, the Departmental Thesis Committee should list in rank order the department’s nomination for honors.
16. REQUIRED COMPONENTS OF THE FORMAL M.D. THESIS

NOTE: One thesis subject is appropriate for the thesis. If the subject can be unified together from multiple papers (for ex, one paper is lab based and a second one is clinical) then the thesis can cover them both. If you are unifying papers, you cannot have more than one abstract, introduction, statement of purpose, methods, results and discussion section in the thesis.

a. Hard bound copy
Both a hard bound and a digital copy are required as below
(In Order for Final Submission of Bound Copy)

Length- Most Yale M.D. theses average 40-80 pages of text. A minimum of 30 pages of text excluding figures, legends, and references is required.

1. Title page. - Title should not exceed 100 characters including spaces between words (see details page 33).

2. Abstract page, as described, (see details page 36).

3. Acknowledgements (personal and faculty acknowledgements, grant support, departmental support, etc.).

4. Table of Contents, with page numbers for each section.

5. Introduction (a thorough, complete, detailed and relevant review of the literature is required).

6. Statement of purpose, including specific hypothesis if appropriate, and specific aims of the thesis.

7. Methods—Please Note: Give details of all methods used. Describe in detail exactly which procedures, methods and experiments were conducted by you and which procedures, methods and experiments, generation of data, or production of reagents, were performed by others. It is not sufficient to state that this information may be mentioned elsewhere. It must be summarized here.

It is recognized that students may often be completing a portion of a larger work. A statement detailing precisely what was done by the student and what was done by others does not detract from the thesis but is necessary for academic honesty.

If pictures are used, you must obtain written permission from patients, parents or guardians and this should be added to the methods section. You could also consider masking faces or eyes.

8. Results – All primary data related to the thesis topic should be presented with the important data given in figures or tables. If preferred, figures and tables should be included in this section and should be explained in detail in the text. Tables and figures can be presented
separately after the discussion but, if possible, it is advantageous to the reader to include tables in the body of the results section (as in a manuscript). All data should include the number of observations, and mean values ± S.E.M. or ± S.D.

9. **Discussion.** (Thorough and detailed interpretation and analysis of data and reference to and analysis of other literature.)

10. **References** – We strongly recommend the use of Endnote for formulating the references. Indicate references in the text by sequential numbers in parentheses (do not use subscript). In the Reference section, list references numbered in the order in which they appear in the text in the format shown below (note that the initials of the authors always follow the surnames, and that there should be no space between more than one initial). Include all authors’ names up to 5 authors (use *et al.* after the 5th author) and complete article titles. Indicate articles that are in press following the journal name.

Abbreviate the names of journals according to *PubMed* or *BIOSIS Database*. Spell out names of unlisted journals. Supply inclusive page numbers. Submitted manuscripts, manuscripts in preparation, unpublished observations, personal communications, and preliminary report citations must appear parenthetically in the text. They should not appear in the Reference section. See examples below:

Journal style guides and Endnote style files for the *Journal of Clinical Investigation* and *New England Journal of Medicine* – are also acceptable.

**Journal Articles**


2. In Press


**Complete books**


**Articles in books**


**Abstracts**


11. **Figure References and Legends.** Figures must be cited sequentially in the text using Arabic numerals (for example, “Fig. 7”). Provide a short title (in the legend, not on the figure itself) and explanation in sufficient detail to make the figure intelligible
without reference to the text (unless a similar explanation has been given in another figure).
Provide a key to any symbols used.

12. **Tables.** All tables should be double-spaced on manuscript pages. Tables should be self-contained and self-explanatory. Provide brief titles and use superscript capital letters starting from A and continuing in alphabetical order for footnotes.

**NOTE:** For Bound Copy: The full thesis title, the student’s full name, "Yale University", and the year of degree should be imprinted on the cover. The student’s name and year should be imprinted on the spine.

**b. Copy and Binding Services - Class of 2020**

We are pleased to inform you that the cost of binding one MD thesis (up to $85) at Yale Printing and Publishing Services (YPPS) will be paid by the School of Medicine from the Student Activity Fund.

We recommend copying the thesis yourself on any printer (color may be needed for figures in color) and then taking the thesis yourself for binding to Yale Printing and Publishing.

1. **Yale Printing and Publishing Services (YPPS) - Binding**

YPPS will bind all medical student theses. YPPS is located at 344 Winchester Avenue, New Haven, telephone, 203-432-6560. The cost of binding a medical school thesis at YPPS is $85 (dark blue or black cover with gold stamped letters).

The School of Medicine will cover the cost of binding one MD thesis ($85) at YPPS. The cost of printing a thesis at YPPS is extra and there are specific instructions to print a copy of the thesis in addition to binding (see # 2 below) at YPPS. We suggest students print a copy of the thesis and bring it directly to YPPS as to not incur any out of pocket costs. Students can print their thesis on standard 8.5 by 11 in. copy paper (20 lb. weight).

**Binding a thesis at YPPS:**

i. YPPS will bill the School of Medicine directly for binding one MD thesis. There is no out of pocket expense for students for binding costs at YPPS. YPPS has instructions on the specifics of the front cover and spine (see images on the following page) Please include printouts that contain information for the front cover and information for the spine when you submit your thesis for binding to YPPS.

ii. YPPS will deliver the hardbound thesis to the Office of Student Research. Students are responsible to submit the Yale School of Medicine MD Thesis Depositor’s Declaration Form to the Office of Student Research. This form is available in the Office of Student Research and in the “Medical Student Research Program and the Yale M.D. Thesis Requirement: Guide for Students and Faculty Sponsors”.

2. **Yale Printing and Publishing Services (YPPS) - Printing in addition to Binding – Charges in addition to binding cost apply**

In addition to binding a thesis, YPPS also offers copy services. Students should submit files to YPPS that include the complete thesis, and a separate file with the front cover and spine information. Instructions for printing a thesis at YPPS

i. Go to URL: [http://ypps.yale.edu/student-needs](http://ypps.yale.edu/student-needs)

ii. Choose WALK-IN.

iii. You should see a screen that offers Thesis Printing.
After YPPS prints the thesis, the student is required to physically go to YPPS to proof the copy before YPPS binds the thesis.

Copy Services Only:

<table>
<thead>
<tr>
<th>Name</th>
<th>Address</th>
<th>Telephone Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Goodcopy</td>
<td>110 Hamilton Street</td>
<td>203-624-0194</td>
</tr>
<tr>
<td>DocuPrint</td>
<td>27 Whitney Avenue</td>
<td>203-776-6000</td>
</tr>
<tr>
<td></td>
<td></td>
<td>203-787-3029</td>
</tr>
</tbody>
</table>

Please note that medical students have two weeks from the date they are notified by the Department Thesis Chair that the thesis is approved to submit the thesis to YPPS for binding. Please contact the Office of Student Research if you cannot submit your thesis in two weeks to YPPS after approval, and we will work with you.

3. Images of Thesis

Cover includes Title, Author, School (Yale University) and Year

Spine includes Author and Year. Spine is limited to 46 Characters. Anything else at additional cost to be determined by YPPS review.
4. **Number of Theses required.**

Every medical student is required to submit one bound copy to the Office of Student Research. Yale Printing will deliver all theses bound by them to the OSR and OSR will deliver them to the Yale Medical library.

Some medical students like a bound copy for the student and some prefer one for the faculty mentor. *These can be soft bound with plastic covers to reduce costs.* No departments require bound theses.

c. **Thesis Typing and Assembly Instructions**

The final submission of the M.D. thesis should meet the following requirements:

**Paper:** 20 lb. weight paper (standard copy paper). All pages must be a high-contrast, dark image on white paper. We suggest you print a copy of the thesis for binding to minimize the cost.

**Print Size:** Use a 10-12 point font.

**Typing:** Double spaced on one side of the page. Single spacing may be used within block quotations, footnotes, and bibliography, but double spacing must be used between successive entries.

**Margins:** 1-1/2 inch left hand margin (normally, the binding edge), 1 inch on the three other edges. These margins apply to full-page photographs and pages containing tables and illustrations, as well as to pages of text.

**Figures:** Ordinarily, photographs should be scanned and entered electronically into the text. To mount photographs, use Duco or equivalent cement, rubber cement or "permanent" glue. Regular glue, picture corners, and adhesive cellophane are not acceptable. If charts, graphs, maps, tables, or computer printouts that are larger than the standard size are to be used, they should be folded carefully into the manuscript, with the fold at least 1/2 inch from the right hand edge of the page.

Figure Legends should be detailed and should be placed below the illustrative material.

A legend may appear, however, on a facing legend page when both illustration and the legend cannot be accommodated on one page.

**Page Numbers:** Each page in the thesis should be numbered except the title page, table of contents, abstract, and acknowledgements. The number should be placed either at the top center or at the top right-hand corner at least 1/2 inches from any edge.

**Submission of Thesis:**

**A. Hard Bound Copy (1 — please see details below).** The Office of Student Research requires one letter-quality printed original thesis with hard bound cover, submitted to YPPS for binding (YPPS will deliver all theses to our office). Some medical students like a bound copy for the student and some prefer one for the faculty mentor. These additional copies can be bound with soft covers. The copies should be reproduced on a good copying machine. **Bound Copy:** The full thesis title, the student’s full name, "Yale University", and the year of degree are imprinted on the cover. The student’s name and year are imprinted on the spine.

**Abstracts:**

The abstract should be placed immediately after the title page. A copy of the abstract may also be requested to *The Yale Journal of Biology and Medicine.* See section on "Abstracts of M.D. Thesis" for more detailed information.

**B. Digital Copy (1), Yale Medicine Thesis Digital Library.** The Office of Student Research also
requires a copy of your thesis to be submitted to the Yale Medicine Thesis Digital Library. A completed agreement form covering both the hard bound and digital thesis is available in the Office of Student Research. A copy of the agreement is on page 34. Instructions on the submission of the digital thesis begin on page 35.
d. Yale Medicine Digital Thesis Library

Yale School of Medicine  
MD Thesis Depositor’s Declaration

I hereby grant to the Yale School of Medicine and its agents the non-exclusive license to photocopy, archive and make accessible, under the conditions specified below, my print and electronic thesis, in whole or in part, in all forms of media.

I acknowledge that I have either obtained permission from the owner(s) of each third party copyrighted matter to be included in my thesis or I have removed all such copyrighted matter.

I agree that the Yale School of Medicine may electronically store, copy or translate my thesis to any medium or format for the purpose of preservation and accessibility. The Yale School of Medicine is not under any obligation to reproduce or display my thesis in the same format in which it was originally deposited.

I retain all other ownership rights to the thesis, including but not limited to the right to use in future works (such as articles and books) all or part of this thesis.

My thesis may be placed in the digital repository with the following status:  
(choose one only)

☐ 1. Release the entire thesis immediately for access worldwide, in perpetuity.

☐ 2. Release the entire work for Yale University access (including on-campus access and remote access) only for ☐ 1 year, ☐ 2 years, or ☐ 3 years. After this time, the work may be accessible worldwide, in perpetuity.

☐ 3. Release the entire work for Yale University access (including on-campus access and remote access) only, in perpetuity. I understand that this thesis may be available from any Yale University computer location or authorized remote location.

I understand that descriptions of the thesis will be incorporated into library catalogs or databases. Any request to remove my thesis from the digital library repository shall be submitted in writing to the Director of Student Research. Any such request shall be granted or denied at the sole discretion of the Director, after giving consideration to all factors raised in such request.

I hereby give The Yale School of Medicine the right to make available the thesis in the way described above.

_______________________           ____________     ______________________
Name of Student                              Date                        Signature

__________________________        ____________
For Office of Student Research           Date                     Signature

The Thesis should be uploaded after approval by the Departmental Thesis Chair
Avoiding the risk of copyright violation and liability when submitting your MD Thesis

Your MD Thesis represents an academic milestone. The protection of your thesis copyright exists from the time your work was created in digital form. Every article, book, or web page you used in conducting research and writing your thesis is also protected by copyright. When you download a single personal copy of a research article or cite a short passage, your scholarship is protected by the legal concept of *fair use*. A basic understanding of copyright protections and fair use is found at [www.copyright.gov](http://www.copyright.gov). Yale University also provides a guide to copyright protection and fair use: [http://ogc.yale.edu/legal_reference/copyright.html](http://ogc.yale.edu/legal_reference/copyright.html)

Section 107 of the U.S. Copyright Law describes how to determine if a particular use of copyrighted material is fair. However, the distinction between what is fair use and what is infringement is not always clear or easily defined. Copying an image from an academic e-journal and citing the source does not substitute for obtaining permission to reproduce the image. Many publishers use [www.copyright.com](http://www.copyright.com) to grant reproduction rights of their articles to authors.

ProQuest/UMI and the Yale Medicine Thesis Digital Library may elect not to distribute your thesis if we cannot find evidence that permission or reproduction rights have been secured. Providing evidence of permission or reproduction rights is a student author responsibility. Examples encountered in M.D. Thesis research that require documentation of reproduction rights include:

- Sections of published survey instruments or questionnaires
- Complete journal articles or other complete scholarly works *[Note: The publisher Elsevier does allow graduate student authors of a journal article prior to graduation to reproduce their article in a thesis].*
- Image, graphic, or pictorial works from publications where the author has transferred copyright to the publisher, a common occurrence.

The safest course to avoid a delay in processing your thesis is to not copy images from a publication without obtaining permission. It is almost always possible to cite a source and expect that readers can find the figure, chart, or image in the published version of the work you cite. Research faculty that transfer copyright to a publisher of their article are no longer the copyright holder and are unable to grant permission for reproduction. On the other hand, a research mentor can offer you unpublished images from their laboratory’s image collection.

When it is impracticable or prohibitively expensive to obtain permission through the publisher or the Copyright Clearance Center ([www.copyright.com](http://www.copyright.com)), you should consider avoiding the use of that material, unless you have obtained a legal opinion that fair use would apply to the situation. Neither the Office of Student Research, nor the Yale Library, can supply legal advice on copyright and fair use. If there is any doubt, it is advisable to consult the Yale University Office of the Vice President and General Counsel. Please contact Sheree Carter-Galvan,
Associate General Counsel, by phone at 432-4949, or by email at sheree.carter-galvan@yale.edu.

e. Instructions for Uploading a PDF version of a Medical Thesis


b. Logging In: [http://www.etdadmin.com/cgi-bin/student/etd?siteId=323](http://www.etdadmin.com/cgi-bin/student/etd?siteId=323)

The electronic thesis submission process in ProQuest is organized into three major categories: Publishing information, About my dissertation/thesis, and Submission & payment. The system will walk you through the submission process step-by-step.

A few things to note: the ETD Administrator software is a 3rd party product that the Yale School of Medicine licenses from ProQuest, a vendor unaffiliated with the University. While the Office of Student Research and Yale University Libraries have the ability to make recommendations and minimal customizations to the ETD system, we do not have control over their policies or processes. The ProQuest Dissertations and Theses Global database is a subscription resource that collects and makes available to subscribers dissertations and theses from multiple countries and a range of academic specialties. If you choose to make your thesis publicly available, the full-text will appear in this database and users will be able to read, save, and download the text. EliScholar is a digital platform for scholarly publishing provided by the Yale University Library. While it is supported by third party software, EliScholar is maintained by YUL and offers more flexibility in uploading and managing theses. The option you choose for release of your thesis in the ETD Administrator system will apply to both ProQuest Dissertations and Theses Global and EliScholar. All theses are available to the Yale community (individuals with a NetID and password and users physically present at a library facility on campus) upon publication. A limited release of approved theses to the awarding institution’s user community is common practice.
The steps for submitting your thesis in the ETD Administrator system are listed on the right side of the screen. These will be checked off as you fulfill the requirements for each step.

### Publishing Information

This section allows you to choose whether you would like immediate or delayed publication worldwide through ProQuest Dissertations and Theses Global and EliScholar. This decision will likely be based on whether you hold the copyright to all the material in the thesis and have the authority to make it public (copyright may be shared with publishers or other authors). Per YSM policy, the terms for delaying publication are 1, 2, 3 years, or indefinitely. You will also be prompted to enter your personal information in this section.

- **Instructions**
- **PQ publishing options**
- **ProQuest agreement**
- **IR publishing options**
- **University agreement**
- **Contact information**

### This step lists the information you need to have on hand to complete the thesis submission process. Read the text, gather the necessary documents, and click continue.

Here you will select options that dictate whether your thesis is released worldwide immediately, embargoed for 1-3 years, or permanently blocked from public release. Consider who may have a copyright stake in your work when making this decision (if your research has been previously published you may have transferred the copyright to the publisher in your author agreement, or you may share copyright with co-authors). If you are unsure about potential third party copyrighted material in your thesis, contact Lindsay Barnett (lindsay.barnett@yale.edu) at the Cushing/Whitney Medical Library for assistance.

If you wish to make your thesis immediately available for worldwide consumption in ProQuest Dissertations and Theses Global and EliScholar, select Yes under “I want my work to be available in ProQuest as soon as it is published.” If you select no, additional options will become available. ProQuest’s default options are a 1- or 2-year embargo period, but the Office of Student Research allows a 3-year embargo and the option to permanently prevent public release. If you would like to choose either of these alternatives, leave the 1- and 2-year selections blank and write you preferred choice in the “Note to administrator box.”
**Delivering release in ProQuest**

I want my work to be available in ProQuest as soon as it is published. *
- Yes
- No - I have patents pending, or another reason why I need to delay access to the full text of my work.

How long would you like to delay the release to ProQuest? * (more info)
- 1 year
- 2 years

Note to administrator (optional): 200 characters

Reason for delaying release to ProQuest: *
- Publication pending with another publisher

If you choose an embargo, you are required to select a reason for delaying the release before you can save and continue to the next step.

This step requires you to read and accept ProQuest’s Traditional Publishing Agreement. Your selections in the “PQ publishing options” section will determine how ProQuest exercises their right to reproduce, distribute, and display the thesis. You must accept the agreement to continue.

Here you will find a copy of the Yale School of Medicine MD Thesis Depositor’s Declaration form. This form should be completed under the direction of the Office of Student Research. Check the box indicating the you have read, understand and agree to the form, then save and continue.

Enter your personal information and associated address(es) here. When all required fields are filled out, save and continue.
About My Dissertation/Thesis:

In this section you will enter descriptive data about your thesis and upload the PDF. There are also options for uploading supplemental files (video, spreadsheets, etc.) and notes. If there is any information you would like to communicate to the ProQuest ETD Administrator about your electronic thesis, please enter this into the Notes section.

Here you will enter basic bibliographic details about your thesis as prompted, including title, abstract, degree details, and other information. In the “Primary Subject Category” dropdown menu, if you do not see your specialty listed you can choose “Medicine [0564].”

Enter all relevant information then save and continue.

In this step you will upload a PDF copy of your thesis through ProQuest’s file upload system. You also have the option to upload any documents related to copyright permissions from a third party. If you sought permission from a third party who holds the copyright to portions of your thesis, such as a publisher or co-author, you can post those documents here. This is not a required step in the thesis submission process. If you choose “yes” under “If Do you have any copyright permission documents to submit?” a second file upload box will appear.

Once you have uploaded all necessary files, save and continue.

This step is optional, and allows you to upload files associated with your research that are not part of the PDF you uploaded in the previous step. This includes spreadsheets, media, datasets, and other types of information. If you have no supplemental files to upload, save and continue to the next step.
Here you can enter any notes that you would like the ProQuest ETD Administrator to see. These comments are not visible to the Office of Student Research or to the School of Medicine. This section is optional. After entering in any relevant notes, save and continue to the next section.

**Submission & Payment**

This final section provides options for registering copyright and ordering bound copies of your thesis.

- **Register U.S. Copyright**

  In this step you are given the option to have ProQuest register your thesis with the U.S Office of Copyright. This is an optional service provided by ProQuest for a $55 fee; **there is no fee for electronic upload of your thesis**. Using this service represents a personal decision, and no reimbursement from the Office of Student Research or the Cushing/Whitney Medical Library is available. Please note that copyright subsists from the time a work is placed in fixed form and is not dependent upon registration with the U.S. Copyright Office. As an author, you may also register your work with the U.S. Copyright Office personally at any time. You must certify that no copyright has been previously filed on any version of your thesis and that you are the sole author of the manuscript with no third party claimants to any of the work to be eligible for this service. After you have made you selection, save and continue.

- **Order copies**

  Here you have the option to order bound print copies of your thesis. This is an optional service and you are not required to purchase any copies. Upon publication, one bound copy of your thesis will be deposited into the Cushing/Whitney Medical Library’s collection. Please note that it can take up to 16 weeks from the point of order to receive bound copies from ProQuest. If you order copies through ProQuest, **they cannot be shipped directly to the Office of Student Research**. If you choose to order copies you must complete the subsequent step where you are asked to enter your shipping address. Otherwise, you may skip this step.

- **Submit**

  The last step is to review all of your choices and submit your thesis. If any steps have been missed or are incomplete, the software will prompt you to finish these steps before submission. While the heading on this step is “Pay for your order” you will encounter no charges unless you have selected optional services such as ordering bound copies. Once you click the “Submit Dissertation/Thesis” button the process is completed. If you experience any issues with the submission process or have second thoughts after submission, please contact Lindsay Barnett (lindsay.barnett@yale.edu), the ProQuest ETD Administrator for YSM. If Lindsay is not available, please contact the staff at the Office of Student Research.

f. **Abstracts of M.D. Theses**

Some abstracts of Yale medical student theses are published in *The Yale Journal of Biology and Medicine*. A standardized format for the abstract of each M.D. thesis is required (see the following...
This format must be followed for all abstracts published both in the bound thesis, in the digital copy (Yale Medical Thesis Digital Library) and in the abstract submitted for publication.

The editors of *The Yale Journal of Biology and Medicine* will not review abstracts for content. It is the responsibility of the student investigator and the faculty advisor to prepare the abstract. Faculty sponsors give approval of the abstract when they approve the final version in the bound thesis. The editors of the Digital Thesis Library will ensure that all requested thesis abstracts are available to the *Yale Journal of Biology and Medicine* without additional steps required of students.

Digital publication of theses insures access for all scientists to a summary of such work, provides students with a formal citation for their thesis, and demonstrates the exceptional quality of student research and student-faculty cooperation at Yale.

**These instructions for preparing abstracts are to be used for the bound thesis, the digital library copy, and publication of abstracts in *The Yale Journal of Biology and Medicine*.

1. Abstracts may be typed or printed by word processor on standard 8 1/2" x 11" white paper with 1-1/2 inch left hand margin (normally, the binding edge), 1 inch on the three other edges. Abstracts may be no more than 500 words in length, not including title and author information. The entire abstract, including title page, must be double-spaced and should be no more than one page in length.

2. Titles should be brief, clear and carefully chosen. The title should not exceed 100 characters including spaces between words. Capitalize entire title, using no abbreviations.

3. Authors' names are to be written in full, omitting degrees. The student author's name shall be first. If the faculty sponsor also qualifies as an author, his or her name should be last. If the faculty member has been only a sponsor, his or her name should appear in parentheses after the name(s) of other authors as follows: "(Sponsored by...)". Other collaborators should be listed after the student's name and before the faculty sponsor's name. Immediately following the faculty sponsor's name, designate section (if any), departmental affiliation, institution, city and state (Yale University School of Medicine, New Haven, CT) (see examples).

4. For thesis work done at another institution, designate senior author's departmental and institutional affiliation. In parenthesis, indicate Yale faculty sponsor and institutional affiliation with the phrase: "Sponsored by..." (see examples).

5. Organize the body of abstract as follows:

   - A statement of the hypothesis or goals and specific aims of the study.
   - A statement of the methods used.
   - A summary of the results presented in sufficient detail to support the conclusions. Include actual values with statistics, if appropriate.
   - A statement of the conclusions reached.
   - Do not use subtitles; e.g., methods, results.

6. Do not include graphs, references to other publications, or acknowledgement of any research grant support. A single short table of results can be used if appropriate.

7. Abbreviations may be used in text only if defined initially by placing them in parenthesis after the full work first appears in the text. Abbreviations may not be introduced in the title.
8. Non-proprietary (generic) names are required the first time a drug is mentioned, written in small letters. Proprietary names are always capitalized, e.g., acetaxolamide (Diamox).

9. Completed abstracts must be approved by faculty advisor.

Examples of Titles and Authors of Abstracts:

1) Thesis done at Yale:

INCIDENCE OF SUPRAVENTRICULAR ARRYTHMIAS IN AN AGING POPULATION. John M. Smith, Walter T. Donigan, and Marion L. Green. Section of Cardiology, Department of Internal Medicine, Yale University, School of Medicine, New Haven, CT.

2) Thesis done at Yale, where faculty advisor is not a co-author:

INCIDENCE OF SUPRAVENTRICULAR ARRYTHMIAS IN AN AGING POPULATION. John M. Smith and Walter T. Donigan (Sponsored by Marion L. Green). Section of Cardiology, Department of Internal Medicine, Yale University, School of Medicine, New Haven, CT.

3) Thesis done elsewhere:

INCIDENCE OF SUPRAVENTRICULAR ARRYTHMIAS IN AN AGING POPULATION. John M. Smith and Walter T. Donigan. Section of Cardiology, Department of Internal Medicine, St. Elsewhere Hospital, Boston University, Boston, MA. (Sponsored by Marion L. Green, Department of Medicine, Yale University School of Medicine).
17. THESIS COMMITTEES

a. Departmental Thesis Committee

Each Department Chairperson will appoint a Departmental Thesis Committee of three senior faculty members who will be responsible for approving completed dissertations done within that department. 2019-2020 Departmental Thesis Committee Members are:

<table>
<thead>
<tr>
<th>Department</th>
<th>Faculty Name(s)</th>
<th>Department</th>
<th>Faculty Name(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Anesthesiology</td>
<td>Dr. Paul Heerdt</td>
<td>Neuroscience</td>
<td>Dr. Michael Schwartz</td>
</tr>
<tr>
<td>Biomedical Engineering</td>
<td>Dr. Fahmeed Hyder</td>
<td>Neurosurgery</td>
<td>Dr. Angeliki Louvi</td>
</tr>
<tr>
<td>Cell Biology</td>
<td>Dr. Peter Takizawa</td>
<td>Obstetrics &amp; Gynecology &amp; Reproductive Sciences</td>
<td>Dr. Seth Guller</td>
</tr>
<tr>
<td>Cellular &amp; Molecular Physiology</td>
<td>Dr. Biff Forbush</td>
<td>Ophthalmology &amp; Visual Science</td>
<td>Dr. Z. Jimmy Zhou</td>
</tr>
<tr>
<td>Child Study Center</td>
<td>Dr. Andres Martin</td>
<td>Orthopaedics &amp; Rehabilitation</td>
<td>Dr. Jonathan Grauer</td>
</tr>
<tr>
<td>Dermatology</td>
<td>Dr. Keith Choate</td>
<td>Pathology</td>
<td>Dr. Samuel Katz</td>
</tr>
<tr>
<td>Emergency Medicine</td>
<td>Dr. Rachel Dreyer</td>
<td>Pediatrics</td>
<td>Dr. Jeffrey Gruen</td>
</tr>
<tr>
<td>Genetics</td>
<td>Dr. Curt Scharfe</td>
<td>Pharmacology</td>
<td>Dr. Titus Boggon</td>
</tr>
<tr>
<td>History of Medicine</td>
<td>Dr. John Warner</td>
<td>Psychiatry</td>
<td>Dr. Marc Potenza</td>
</tr>
<tr>
<td>Immunobiology</td>
<td>Dr. Kevan Herold</td>
<td>Radiology and Biomedical Imaging</td>
<td>Dr. Darko Pucar</td>
</tr>
<tr>
<td>Internal Medicine</td>
<td>Drs. Erica Herzog and Marcella Nunez-Smith</td>
<td>School of Public Health</td>
<td>Dr. Elizabeth Claus</td>
</tr>
<tr>
<td>Laboratory Medicine</td>
<td>Dr. Peter Tattersall</td>
<td>Surgery</td>
<td>Dr. John Geibel</td>
</tr>
<tr>
<td>Molecular Biophysics &amp; Biochemistry</td>
<td>Dr. Mark Solomon (Fall, 2019)</td>
<td>Therapeutic Radiology</td>
<td>Dr. Shari Damast</td>
</tr>
<tr>
<td></td>
<td>Dr. Alan Garen (Spring 2020 forward)</td>
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</tr>
<tr>
<td>Neurology</td>
<td>Drs. Zachary Corbin and David Pitt</td>
<td>Urology</td>
<td>Dr. Toby Chai</td>
</tr>
</tbody>
</table>
b. The Thesis Committee (serves as the Thesis Awards Committee)

A Thesis Committee has been formed and meets regularly to recommend policy to the Curriculum Committee for all aspects of the Thesis requirement. Specifically, rules and regulations are set and deadlines established. The Committee also serves as the Thesis Awards Committee, acting as a reviewing body to determine oral presentations at Student Research Day, graduation thesis prizes and guidelines for the awarding of prizes. The 2019-2020 Thesis Committee Members are:

Dr. Nancy R. Angoff  Dr. Amy Justice
Dr. Jeffrey Bender  Dr. Barbara Kazmierczak
Dr. Emile Boulpaep  Dr. Joseph King
Dr. Sheldon Campbell  Dr. Forrester A. Lee
Dr. Sarwat Chaudhry  Dr. George Lister
Dr. Jose Costa  Dr. Joanna Radin
Dr. Michael DiGiovanna  Dr. Peter Takizawa
Dr. Marie Egan  Dr. Kimberly Yonkers
Dr. Erica Herzog

18. OFFICE OF STUDENT RESEARCH

The Chairpersons of the Thesis Committee have an office dedicated to student research where lists of faculty research interests are kept up to date; deadlines and applications for stipends are available; where advice can be given to students searching for research projects; where information about past student projects is kept; and where sources of funds can be reviewed. The Office of Student Research is under the direction of Drs. Sarwat Chaudhry and Erica Herzog. Specific questions about the thesis requirement should be directed to Donna Carranzo, Kelly Jo Carlson, Reagin Carney, or Drs. Chaudhry or Herzog, at the Office of Student Research, 3rd Floor Harkness Dorm, 310 ESH, 203-785-6633.